BARNES THOMAS O

Form 4

February 27, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB
3235-0287

Check this box if no longer subject to Section 16

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: 3235-0287 Expires: January 31, 2005

Section 16. Form 4 or Form 5 obligations may continue SECURITIES

SECURITIES

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * BARNES THOMAS O | 2. Issuer Name and Ticker or Trading Symbol BARNES GROUP INC [B] | 5. Relationship of Reporting Person(s) to Issuer | | | | |
|---|--|---|--|--|--|--|
| (Last) (First) (Middle) | 3. Date of Earliest Transaction | (Check all applicable) | | | | |
| | (Month/Day/Year) | X Director 10% Owner | | | | |
| BARNES GROUP INC., 123 MAIN STREET | 02/26/2019 | Officer (give title Other (specify below) | | | | |
| (Street) | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | | |
| BRISTOL, CT 06010 | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |

| (City) | (State) | (Zip) | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |
|--------|---------|-------|--|

| 1.Title of | 2. Transaction Date | | 3. | | 4. Securit | | - | 5. Amount of | 6. | 7. Nature of Indirect |
|---------------------|---------------------|---|-----------------|------|--------------------------|---------------------------------|-------------|----------------------------|-----------------|---------------------------------|
| Security (Instr. 2) | (Month/Day/Year) | Execution Date, if | Transac Code | ctio | n(A) or Di (Instr. 3, | | ` ′ | Securities Beneficially | Ownership Form: | Beneficial Ownership (Instr. 4) |
| (Instr. 3) | | any (Month/Day/Year) | (Instr. 8 | 8) | (IIISII. 5, | 4 and | 3) | Owned | Direct (D) | (111511.4) |
| | | • | | | | | | Following | or Indirect | |
| | | | | | | (A) | | Reported | (I) | |
| | | | | Or | | Transaction(s) (Instr. 3 and 4) | (Instr. 4) | | | |
| | | | Code | V | Amount | (D) | Price | (Ilisti. 3 alid 4) | | |
| Common | 02/26/2019 | | G | V | 8,111 | D | \$ 0 | 69,051 (1) (2) | I | Trust |
| Stock | 02,20,2019 | | | • | 0,111 | _ | Ψ 0 | o,, oe 1 <u> </u> | - | #42-01-102-2549053 |
| C | | | | | | | ď | | | Trust |
| Common Stock | 02/26/2019 | | S | | 65 | D | \$ 59.29 | 412,516 <u>(2)</u> | I | #42-01-102-8546559 |
| SIUCK | | | | | | | 39.29 | | | (1E) |
| | | | | | | | | | | Trust |
| Common | 02/26/2019 | | S | | 55 | D | \$ | 160,749 (2) | I | #42-01-102-8550720 |
| Stock | | | | | | | 59.29 | | | (3E) |
| | | | | | | | | | | Trust |
| Common | 02/26/2019 | | S | | 615 | D | \$ | 480,868 (2) | Ţ | #42-01-102-8550719 |
| Stock | 02,20,2017 | | | | 0.10 | _ | 59.29 | , | - | (4E) |

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| Common Stock | 02/26/2019 | S | 132 | D | \$ 59.29 | 410,336 (2) | I | Trust #42-01-102-8546558 (5E/5W) |
|-----------------|------------|---|-----|---|-------------|-------------------|---|--|
| Common Stock | 02/26/2019 | S | 195 | D | \$ 59.29 | 83,778 (2) | I | Trust #42-01-102-8550713 (6E/7W) |
| Common Stock | | | | | | 352,099.03 (3) | D | |
| Common Stock | | | | | | 34,968.33 | I | By 401(k) Plan |
| Common Stock | | | | | | 4,360.97 (2) | I | By my wife |
| Common Stock | | | | | | 39,116 <u>(2)</u> | I | Trust #42-01-102-8546568 |
| Common Stock | | | | | | 75,763 <u>(2)</u> | I | Trust #42-01-102-8550714 (2E) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | . | ate | 7. Title: Amount Underly Securitic (Instr. 3 | t of ring es | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secun Bene Owno Follo Repo Trans (Instr |
|---|---|--------------------------------------|---|--|---|---------------------|--------------------|--|--------------------|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title N | Number | | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Reporting Owners 2

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BARNES THOMAS O
BARNES GROUP INC.
123 MAIN STREET
BRISTOL, CT 06010

X

Signatures

Patricia A. Bradley, pursuant to a Power of Attorney

02/27/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects distribution to trust beneficiary.
- (2) Reporting Person hereby disclaims beneficial ownership of shares held by his Wife, and the Trusts except to the extent of his pecuniary interest.
- (3) Includes a balance of 1729 Restricted Stock Units granted 2/13/2019, that are subject to forfeiture if certain events occur.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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