Edgar Filing: EDWARDS DAWN N - Form 4

EDWARDS	DAWN N											
Form 4 February 12,	2018											
										/IB Al	PPROVAL	
W				RITIES AND EXCHANGE COMMISSION ashington, D.C. 20549					N OMB Numb	er:	3235-0287	
Check thi if no long subject to Section 1 Form 4 or Form 5	Ger STATEM 6. r	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires:January 31, 2005Estimated averageburden hours per response0.5		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type R	Responses)											
EDWARDS DAWN N Symbol				er Name and Ticker or Trading [ES GROUP INC [B]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (N	(liddle)		Earliest Transaction				(Check all applicable)				
× /	ROUP INC., 123		(Month/D 02/08/20	ay/Year)				Director X Officer (g below) SVP	ive title belo , Human Re	_Othew)	6 Owner er (specify ces	
	(Street) 4. If Amer Filed(Mon				-	l		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
BRISTOL, O	CT 06010							Form filed by Person	More than ()ne Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Ao	cquired, Disposed	of, or Ben	eficial	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)			Securities C Beneficially F Owned (1) Following In	6. Ownership Form: Dire (D) or Indirect (I) (Instr. 4)	o In ect E C	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Common Stock	02/08/2018			A <u>(1)</u>	1,600	Α	\$0	60,032.37 <u>(2)</u>	D			
Common Stock								15,056.92	Ι	(oy Company's 401(k) Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	ionof Derivative Expiration Securities (Month/Da			7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option-Right to Buy	\$ 59.28	02/08/2018		А	4,900	<u>(3)</u>	02/08/2028	Common Stock	4,900

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
EDWARDS DAWN N BARNES GROUP INC. 123 MAIN STREET BRISTOL, CT 06010			SVP, Human Resources					
Signatures								
William K. Piotrowski, pursuar Attorney	nt to a Po	wer of	02/12/2018					
<u>**</u> Signature of Reporting	g Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person has received 1,600 Restricted Stock Units that are subject to forfeiture if certain events occur.
- (2) Includes balances of 799 Restricted Stock Units granted 2/11/2015, 1733 granted 2/10/2016 and 1900 granted 2/8/2017, that are subject to forfeiture if certain events occur.
- (3) The options vest 33.334% on the 18th month and 33.333% on the 30th and 42nd months from the grant date.
- (**4**) 1 for 1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.