Edgar Filing: Houghton Mifflin Harcourt Co - Form 4

Houghton M Form 4 June 30, 20	Aifflin Harcourt (15	Со									
FORM	ЛЛ	UNITED STATES SECURITIES AND EACHANGE COMMISSION								PROVAL 3235-0287	
Check t if no lor subject Section Form 4 Form 5 obligati may cor <i>See</i> Inst 1(b).	nger to 16. or Filed pu ons ntinue.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(h) of the Investment Company Act of 1940							Number: January 31 Expires: 200 Estimated average burden hours per response 0.		
(Print or Type Responses)											
Colangelo Brook Mickey Sy H								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(N				3. Date of Earliest Transaction (Month/Day/Year) 06/29/2015				Director 10% Owner X_ Officer (give title Other (specify below) below) See remarks.			
	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)				1	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
BOSTON,	MA 02116						- I	Form filed by Me Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-J	Derivative	e Secu	rities Acqui	ired, Disposed of,	or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		n Date, if	Code (Instr. 8)	4. Securi oror Dispo (Instr. 3, Amount	sed of 4 and (A) or	(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	06/29/2015			М	4,166	A	\$ 12.5	41,387	D		
Common Stock	06/29/2015			S <u>(1)</u>	4,166	D	\$ 25.7073 (2)	37,221	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 12.5	06/29/2015		М	4,166	<u>(3)</u>	01/07/2020	Common Stock	4,166

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Colangelo Brook Mickey C/O HOUGHTON MIFFLIN HARCOURT 222 BERKELEY STREET BOSTON, MA 02116	CO.,		See remarks.				
Signatures							
/s/ William F. Bayers, Attorney-in-Fact	06/30/2015						
**Signature of Reporting Person	Date						
Explanation of Responses:							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to a Rule 10b5-1 trading plan.

The sale price represents the weighted average sale price for multiple transactions reported on this line. The prices of the transactions ranged from \$25.43 to \$25.94. Upon request of the staff of the Securities and Exchange Commission, Houghton Mifflin Harcourt

(2) Tanged non \$25.55 to \$25.55 t

(3) These employee stock options have vested and are currently exercisable.

Remarks:

Executive Vice President and Chief Information Officer. William F. Bayers is the Executive Vice President, Secretary and Gen

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.