Edgar Filing: Spectrum Brands Holdings, Inc. - Form 4

| Spectrum Br Form 4 June 03, 201 | 1 / | | | | | | | | OMB AF | PPROVAL | |
|--|--|--|-------|--|--|------------|-------------|---|---|---|--|
| | UNITED S | ⁻ UNITED STATES SECURITIES AND EACHANGE COMMISS | | | | | | | | 3235-0287 | |
| Check the | is box | Washington, D.C. 20549 | | | | | | | | January 31, | |
| if no long subject to Section 1 Form 4 o Form 5 obligation may cont See Instru- | 6. r Filed pur ns inue. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | | |
| 1(b). | | | | | | | | | | | |
| (Print or Type F | Responses) | | | | | | | | | | |
| 1. Name and A HARBINGI | r Name and m Brands | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | | |
| | | | [SPB] | III Diuliu | Tioruing | <i>.</i> , | • | (Check | k all applicable | | |
| 450 PARK AVENUE, 30TH (Month/D 05/30/20 | | | | - | | | | DirectorX 10% Owner Officer (give titleX Other (specify below) *See Remarks | | | |
| Filed(Mor | | | | endment, Date Original nth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting | | | |
| | K, NY 10022 | | | | | | | Person | | ·r 8 | |
| (City) | (State) | (Zip) | Tabl | le I - Non-E | Derivative S | Securi | ties Acq | uired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock (par value \$0.01 per share) | 05/30/2013 | | | Р | 10,000 | A | \$ 60.58 | 30,801,185 | D (1) (2) (3) | | |
| Common Stock (par value \$0.01 per share) | 05/31/2013 | | | Р | 10,000 | A | \$ 60.58 | 30,811,185 | D (1) (2) (4) | | |
| Common Stock (par value \$0.01 | 06/03/2013 | | | Р | 15,400 | А | \$ 60.34 | 30,826,585 | D (1) (2) (5) | | |

| per | share) |
|-----|--------|
|-----|--------|

| Common | | | | | | |
|---------------------------------------|---|-------|---|-------------|------------|---------------|
| Stock (par value \$0.01 06/03/2013 | Р | 3,600 | А | \$ 60.99 | 30,830,185 | D (1) (2) (6) |
| per share) | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date | 7. Titl Amou Under Securi (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|---|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|--------------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| HARBINGER GROUP INC. 450 PARK AVENUE 30TH FLOOR NEW YORK, NY 10022 | | Х | | *See Remarks | | | |
| FALCONE PHILIP 450 PARK AVENUE 30TH FLOOR NEW YORK, NY 10022 | | Х | | *See Remarks | | | |
| O ! | | | | | | | |

Signatures

Reporting Owners

Harbinger Group Inc. By: /s/ Thomas Williams, Executive Vice President and Chief Financial Officer(+)

**Signature of Reporting Person

06/03/2013

/s/ Philip Falcone

**Signature of Reporting Person

06/03/2013 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Shares are owned by Harbinger Group Inc., which is the Reporting Person, or a wholly owned subsidiary (together "HGI").
- Mr. Philip Falcone is the Chief Executive Officer and Chairman of the Board of Directors of HGI and the portfolio manager of certain controlling persons of HGI. Mr. Falcone does not own any Shares directly; however, as a result of Mr. Falcone's position with HGI and his relationship with HGI's controlling persons, Mr. Falcone may be deemed to beneficially own Shares directly owned by HGI.

The price shown in Column 4 is the weighted average purchase price of these Shares on the transaction date. The price range for the

(3) purchases is \$60.25 to \$60.95 per Share. The Reporting Person undertakes to provide upon request by the staff of the Securities and Exchange Commission, the Issuer or a security holder of the Issuer full information regarding the number of Shares purchased at each separate price.

The price shown in Column 4 is the weighted average purchase price of these Shares on the transaction date. The price range for the purchases is \$60.15 to \$60.86 per Share. The Reporting Person undertakes to provide upon request by the staff of the Securities and

(4) purchases is 500.15 to 500.80 per share. The Reporting Person undertakes to provide upon request by the start of the Securities and Exchange Commission, the Issuer or a security holder of the Issuer full information regarding the number of Shares purchased at each separate price.

The price shown in Column 4 is the weighted average purchase price of these Shares on the transaction date. The price range for the purchases is \$59.83 to \$60.83 per Share. The Reporting Person undertakes to provide upon request by the staff of the Securities and

(5) Exchange Commission, the Issuer or a security holder of the Issuer full information regarding the number of Shares purchased at each separate price.

The price shown in Column 4 is the weighted average purchase price of these Shares on the transaction date. The price range for the purchases is \$60.85 to \$61.22 per Share. The Reporting Person undertakes to provide upon request by the staff of the Securities and

(6) Exchange Commission, the Issuer or a security holder of the Issuer full information regarding the number of Shares purchased at each separate price.

Remarks:

-The Reporting Persons may be deemed to be members of a "group" for purposes of the Securities Exchange Act of 1934, as a

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.