Swartzendruber Kevin Lee Form 4 February 20, 2019

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

burden hours per response... 0.5

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

stock units -

2018

(Print or Type Responses)

1. Name and Add Swartzendrube	Symbol SIMPS	2. Issuer Name <b>and</b> Ticker or Trading Symbol SIMPSON MANUFACTURING CO INC /CA/ [SSD]			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 5956 W LAS	(Last) (First) (Middle) 3. Date of Ea (Month/Day/ 56 W LAS POSITAS BLVD 02/15/2019			action	below)	X_ Officer (give title Other (specify		
PLEASANTO	(Street) N, CA 94588		4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State) (Z	Cip) Tab	e I - Non-Deri	vative Securities A	equired, Disposed o	f, or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		Code ar) (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Pr	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Performance stock units - 2019 (1)	02/15/2019		A	2,070 A \$	0 2,070 (1)	D		
Performance								

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 $1,575 \stackrel{(2)}{=}$ 

D

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	rivative Conversion (Mon- curity or Exercise		Transaction Date 3A. Deemed  Month/Day/Year) Execution Date, if any (Month/Day/Year)		4. 5. Number Transactionof Code Derivative (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	<sup>7</sup> (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted stock units -2018	\$ 0					(3)	(3)	Common Stock	609	
Restricted stock units -2019 (4)	\$ 0	02/15/2019		A	764	<u>(4)</u>	<u>(4)</u>	Common Stock	764	\$ (

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Swartzendruber Kevin Lee 5956 W LAS POSITAS BLVD PLEASANTON, CA 94588			Senior VP of Finance			

## **Signatures**

Kevin L

Swartzendruber 02/20/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Amount of performance stock units (PSUs) awarded on February 15, 2019. PSUs vest fully on February 15, 2022, subject to the

  Company's performance on revenue growth (50%) and return on invested capital (50%). The number of PSU's presented assumes the number of shares vested based on meeting targeted performance goals and net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.
- Amount of performance stock units (PSUs) awarded on February 15, 2018. PSUs vest fully on February 15, 2021, subject to the

  Company's performance on revenue growth (50%) and return on invested capital (50%). The number of PSU's presented assumes the number of shares vested based on meeting targeted performance goals and net of the number of shares expected to be withheld to cover the estimated income taxes due on vesting.

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- (3) Amount of restricted stock units (RSUs) awarded on February 15, 2018. Twenty percent of RSUs vest on February 15, 2019, forty percent on February 17, 2020 and February 15, 2021. Granted RSUs do not have exercise dates or an expiration date.
- (4) Amount of restricted stock units (RSUs) awarded on February 15, 2019. Twenty percent of RSUs vest on February 15, 2020, forty percent on February 17, 2021 and February 15, 2022. Granted RSUs do not have exercise dates or an expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.