SMITH A O CORP Form 4

April 25, 2007

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ROMOSER W DAVID Issuer Symbol SMITH A O CORP [AOS] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title A. O. SMITH 04/24/2007 below) CORPORATION, 11270 WEST Senior VP, Gen. Counsel & Sec PARK PLACE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

#### MILWAUKEE, WI 53224

(State)

(Zip)

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)			etio 3)	4. Securities Acquired (A) onor Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	or (D)	Price	(Instr. 3 and 4)	(======================================	
Common Stock	04/24/2007		M(1)		2,625	A	\$ 29.0313	50,662	D	
Common Stock	04/24/2007		M(1)		175	A	\$ 15.135	50,837	D	
Common Stock	04/24/2007		M(1)		4,675	A	\$ 26.88	55,512	D	
Common Stock	04/24/2007		M(1)		4,475	A	\$ 28.7	59,987	D	
Common Stock	04/24/2007		S(2)		9,050	D	\$ 38	50,937	D	

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Common Stock	04/24/2007	S(2)	1,700	D	\$ 38.01	49,237	D
Common Stock	04/24/2007	S(2)	200	D	\$ 38.016	49,037	D
Common Stock	04/24/2007	S(2)	200	D	\$ 38.02	48,837	D
Common Stock	04/24/2007	S(2)	600	D	\$ 38.03	48,237	D
Common Stock	04/24/2007	S(2)	2,300	D	\$ 38.04	45,937	D
Common Stock	04/24/2007	S(2)	1,400	D	\$ 38.05	44,537	D
Common Stock	04/24/2007	S(2)	1,383	D	\$ 38.06	43,154	D
Common Stock	04/24/2007	S(2)	200	D	\$ 38.068	42,954	D
Common Stock	04/24/2007	S(2)	1,617	D	\$ 38.07	41,337	D
Common Stock	04/24/2007	S(2)	1,700	D	\$ 38.08	39,637	D
Common Stock	04/24/2007	S(2)	100	D	\$ 38.09	39,537	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number iom Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
	\$ 29.0313	04/24/2007		$M_{\underline{(1)}}$		2,625	10/12/2000	10/13/2009		2,625

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Employee Stock Options (Right to Buy) (3)							Common Stock	
Employee Stock Options (Right to Buy) (4)	\$ 15.135	04/24/2007	M <u>(1)</u>	175	10/08/2002	10/09/2011	Common Stock	175
Employee Stock Options (Right to Buy) (5)	\$ 26.88	04/24/2007	M <u>(1)</u>	4,675	10/10/2003	10/11/2012	Common Stock	4,675
Employee Stock Options (Right to Buy) (6)	\$ 28.7	04/24/2007	M <u>(1)</u>	4,475	10/06/2004	10/07/2013	Common Stock	4,475

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Reporting Owner Family Faddress	Director	10% Owner	Officer	Other				
ROMOSER W DAVID A. O. SMITH CORPORATION 11270 WEST PARK PLACE MILWAUKEE, WI 53224			Senior VP, Gen. Counsel & Sec					

## **Signatures**

W. David
Romoser

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercises reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on October 10, 2006.
- The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on October 10, 2006
- (3) Granted on October 13, 1999, under the A. O. Smith Corporation Long-Term Executive Incentive Compensation Plan, a Rule 16b-3 Plan.
- (4) Granted on October 9, 2001, under the A. O. Smith Corporation Long-Term Executive Incentive Compensation Plan, a Rule 16b-3 Plan.
- (5) Granted on October 11, 2002, under the A. O. Smith Combined Executive Incentive Compensation Plan, a Rule 16b-3 Plan.

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(6) Granted on October 7, 2003, under the A. O. Smith Combined Executive Incentive Compensation Plan, a Rule 16b-3 Plan.
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