

Chriss James Alexander  
Form 3  
January 07, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Chriss James Alexander  
(Last) (First) (Middle)

C/O INTUIT INC., 2700  
COAST AVENUE

(Street)

MOUNTAIN  
VIEW, CA 94043

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
01/01/2019

3. Issuer Name and Ticker or Trading Symbol  
INTUIT INC [INTU]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed (Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
EVP and GM SBSEG

6. Individual or Joint/Group Filing (Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)  
or Indirect (I)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Edgar Filing: Chriss James Alexander - Form 3

(Instr. 5)

Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	07/20/2023	Common Stock	9,910	\$ 113.19	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(2)</u>	07/19/2024	Common Stock	13,930	\$ 135.35	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(3)</u>	07/25/2025	Common Stock	6,401	\$ 216.64	D	Â
Restricted Stock Unit	07/01/2019 <sup>(4)</sup>	Â <u>(5)</u>	Common Stock	1,844	\$ <u>(6)</u>	D	Â
Restricted Stock Unit	Â <u>(7)</u>	Â <u>(5)</u>	Common Stock	2,872	\$ <u>(6)</u>	D	Â
Restricted Stock Unit	Â <u>(8)</u>	Â <u>(5)</u>	Common Stock	2,463	\$ <u>(6)</u>	D	Â
Restricted Stock Unit	Â <u>(9)</u>	Â <u>(5)</u>	Common Stock	1,500	\$ <u>(6)</u>	D	Â
Restricted Stock Unit (performance-based vesting)	Â <u>(10)</u>	Â <u>(5)</u>	Common Stock	7,490	\$ <u>(6)</u>	D	Â
Restricted Stock Unit (performance-based vesting)	Â <u>(11)</u>	Â <u>(5)</u>	Common Stock	2,986	\$ <u>(6)</u>	D	Â
Restricted Stock Unit (MSPP Purchased Award)	08/12/2016 <sup>(12)</sup>	Â <u>(5)</u>	Common Stock	254	\$ <u>(6)</u>	D	Â
Restricted Stock Unit (MSPP Matching Award)	08/12/2019 <sup>(4)</sup>	Â <u>(5)</u>	Common Stock	254	\$ <u>(6)</u>	D	Â
Restricted Stock Unit (MSPP Purchased Award)	08/11/2020 <sup>(12)</sup>	Â <u>(5)</u>	Common Stock	274	\$ <u>(6)</u>	D	Â
Restricted Stock Unit (MSPP Matching Award)	08/11/2020 <sup>(4)</sup>	Â <u>(5)</u>	Common Stock	274	\$ <u>(6)</u>	D	Â
Restricted Stock Unit (MSPP Purchased Award)	08/10/2021 <sup>(12)</sup>	Â <u>(5)</u>	Common Stock	287	\$ <u>(6)</u>	D	Â
Restricted Stock Unit (MSPP Matching Award)	08/10/2021 <sup>(4)</sup>	Â <u>(5)</u>	Common Stock	287	\$ <u>(6)</u>	D	Â

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director	10% Owner	Officer	Other
Â	Â	Â EVP and GM SBSEG	Â

Chriss James Alexander  
C/O INTUIT INC.  
2700 COAST AVENUE  
MOUNTAIN VIEW, CA 94043

## Signatures

/s/ Tyler Cozzens, by  
power-of-attorney

01/07/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One third of the 32,429 options granted on 7/21/2016 vested on 7/21/2017 and thereafter 2.778% of the options vest monthly such that the award is fully vested on 7/21/2019.
- (2) One third of the 19,542 options granted on 7/20/2017 vested on 7/20/2018 and thereafter 2.778% of the options vest monthly such that the award is fully vested on 7/20/2020.
- (3) One fourth of the 6,401 options granted on 7/26/2018 will vest on 7/26/2019 and thereafter 2.083% of the options vest monthly such that the award is fully vested on 7/26/2022.
- (4) Represents vesting date for Restricted Stock Units.
- (5) Restricted Stock Units do not expire; they either vest or are canceled prior to vesting date.
- (6) 1-for-1
- (7) 1,436 Restricted Stock Units will vest on each of 2/1/2019 and 2/1/2020.
- (8) 1,231 Restricted Stock Units will vest on 7/1/2019 and 1,232 Restricted Stock Units will vest on 7/1/2020.
- (9) One fourth of the Restricted Stock Units will vest on 7/1/2019 and thereafter 6.25% will vest on the quarterly anniversary for the following 3 years.  
  
The target number of units subject to the award is presented in the table; the number that vest may be 0%-200% of this number
- (10) ("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives, the awarded units will vest on 9/1/2020. Vested RSUs will be paid in an equal number of shares of Intuit Inc. common stock.  
  
The target number of units subject to the award is presented in the table; the number that vest may be 0%-200% of this number
- (11) ("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives, the awarded units will vest on 9/1/2021. Vested RSUs will be paid in an equal number of shares of Intuit Inc. common stock.
- (12) Represents release date for Restricted Stock Unit (MSPP Purchased Award).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.