## Edgar Filing: EMERY MATTHEW VARLEY - Form 4

EMERY MATTHEW VARLEY Form 4 January 03, 2019 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading EMERY MATTHEW VARLEY Issuer Symbol AZZ INC [AZZ] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_Officer (give title Other (specify ONE MUSEUM PLACE. SUITE 12/31/2018 below) below) 500. 3100 WEST 7TH STREET Chief Information & HR Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting FORT WORTH, TX 76107 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) Direct (D) Ownership (Instr. 8) Owned Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price COMMON S 12/31/2018  $A^{(1)}$ 144 Α 5,138 D 34.31 STOCK

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Security (Instr. 3)	or Exercise Price of Derivative Security	(wond/Day/Tear)	any (Month/Da		Code (Instr. 8	of	ative ties red sed 3,	3		Underlying Securities (Instr. 3 and 4)		Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
					Code	V (A) (		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Repor	ting O	wners											
Reporting Owner Name / Address			Rela Director 10% Owner Officer				elationships			0	ther		
ONE MU 3100 WES	IERY MATTHEW VARLEY NE MUSEUM PLACE, SUITE 500 00 WEST 7TH STREET NT WORTH, TX 76107				whet	Chief Information & HR Officer							
Signa	tures												
/s/ Tara D. Mackey, attorney-in-fact for Matthew V. Emery						01/03/2019							

Emery

1. Title of 2.

Derivative Conversion

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person is voluntarily reporting the acquisition of the Issuer's common stock pursuant to AZZ Inc.'s Employee Stock Purchase Plan (the "ESPP"), for the ESPP purchase period of September 3, 2018 through December 31, 2018. This transaction is exempt

(1) Interface relation to ESTT ), for the ESTT parenase period of september 5, 2018 through December 51, 2018. This transaction is exempt from Rule 16b-3(c). In accordance with the ESPP, these shares were purchased based on 85% of the closing stock price of the Issuer's common stock on the Enrollment Date (as defined in the ESPP) for the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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4.

5.

TransactionNumber

6. Date Exercisable and

Expiration Date

8. Price of

Derivative

9. Nt

Deriv

7. Title and

Amount of

Date