### Edgar Filing: FIRST BUSINESS FINANCIAL SERVICES, INC. - Form 4

FIRST BUSINESS FINANCIAL SERVICES, INC.

Form 4 April 04, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer CTLATELATE OF CHANGES IN DENERLY

Expires: January 31, 2005

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estir burd

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. *See* Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Common

Stock

Stock

Stock

03/28/2016

(Print or Type Responses)

1. Name and A Vetta David	Symbol FIRST I	2. Issuer Name <b>and</b> Ticker or Trading Symbol FIRST BUSINESS FINANCIAL SERVICES, INC. [FBIZ]			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (Mid	(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 03/28/2016			Director 10% OwnerX_ Officer (give title Other (specify below) Pr/CEO First Bus. Bank Milw.		
	(Street)		ndment, Da th/Day/Year	Ü		Applicable Line) _X_ Form filed b	y One Reporting	Person
	ELD, WI 53045					Person	y More than One l	Keporung
(City)	(State) (Zi	Cip) Table	e I - Non-D	erivative S	Securities A	cquired, Disposed	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	a	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on Acquired Disposed (Instr. 3,	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	

V 200

D

<u>(1)</u>

25,508

8,273

5,500

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474

(9-02)

Ι

D

I

Joint

Trust

**IRA** 

Revocable

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#### displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	rities	(Instr. 5)	Bene
	Derivative				Securities			(Instr	. 3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A 4		
									Amount		
						Date	Expiration	Title	Or		
						Exercisable	Date	ritte	Number of		
				Codo V	(A) (D)						
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>F-</b>	Director	10% Owner	Officer	Other			
Vetta David J			Pr/CEO				
18500 W. CORPORATE DRIVE			First Bus.				
BROOKFIELD, WI 53045			Bank Milw.				

# **Signatures**

Kathy A. Hayes (pursuant to Power of Attorney previously filed) 04/04/2016 Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction is a gift; therefore price is not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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