NEWELL RUBBERMAID INC

Form 4

December 18, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

Larson Paula S

2. Issuer Name and Ticker or Trading

Symbol

NEWELL RUBBERMAID INC

[NWL]

(Last)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

12/16/2013

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

10% Owner

Other (specify

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

C/O NEWELL RUBBERMAID

(First)

INC., 3 GLENLAKE PKWY.

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

EVP, Human Resources

6. Individual or Joint/Group Filing(Check Applicable Line)

Director

X_ Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

ATLANTA, GA 30328

(Instr. 3)

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed Security

(Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

Following Reported Transaction(s)

(A) or

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if TransactiorDerivative

5. Number of

6. Date Exercisable and **Expiration Date**

7. Title and Amount of 8. 1 Underlying Securities

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| Security (Instr. 3) | or Exercise Price of Derivative Security | | any (Month/Day/Year) | Code (Instr. 8) | Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5) | A) | (Month/Day/Year) | | 4) | Se (Iı |
|------------------------------|---|------------|-------------------------|-----------------|---|-------------------------|--------------------|-----------------|-------------------------------------|-----------|
| | | | | Code V | (A) | (D) Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | (1) | 12/16/2013 | | A | 12,842 | (2) | (2) | Common Stock | 12,842 | |
| Restricted Stock Units | <u>(1)</u> | 12/16/2013 | | A | 12,842 | (3) | (3) | Common Stock | 12,842 | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Larson Paula S C/O NEWELL RUBBERMAID INC. 3 GLENLAKE PKWY. ATLANTA, GA 30328

EVP, Human Resources

Signatures

/s/ Christine E. Hermann, Attorney in Fact for Paula Larson

12/18/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contigent right to receive one share Newell Rubbermaid common stock.
- (2) The reporting person shall become vested in the award in equal installments on December 16, 2014, 2015 & 2016.
 - The reporting person shall become one-third vested in the award when the Company's average closing stock price for any continuous 20 day trading period (the "20 Day Average Price") after the date of grant equals or exceeds \$33.73, but no earlier than December 16, 2014; an additional one-third of the award shall vest when the 20 Day Average Price equals or exceeds \$35.26, but no earlier than December 16,
- (3) 2015; and the remaining one-third of the award shall vest when the 20 Day Average Price equals or exceeds \$36.79, but no earlier than December 16, 2016. The reporting person will not receive dividend equivalents at the time any dividends are paid. Rather, all such dividend equivalents will be credited to an account for the reporting person, and will be paid only to the extent that the applicable performance criteria are met and the RSUs vest. Any portion of the award not vested as of December 16, 2020 shall expire.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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