Nerenhausen Frank R. Form 4 November 21, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

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Check this box if no longer

January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Nerenhausen Frank R.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First)

(Middle)

OSHKOSH CORP [OSK]

(Check all applicable)

C/O OSHKOSH

3. Date of Earliest Transaction

(Month/Day/Year) 11/19/2018

Director 10% Owner X\_ Officer (give title Other (specify

below) EVP & Pres. Access Segment

CORPORATION, 2307 OREGON **STREET** 

(State)

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

(Zip)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

OSHKOSH, WI 54902

(City)

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1.Title of Security	2. Transaction Date (Month/Day/Year)	Execution Date, if		4. Securities Acquired (A) ction Disposed of (D)		5. Amount of Securities	6. Ownership	7. Nature of Indirect	
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	(Instr. 3, 4 an	d 5)		Beneficially Owned	Form: Direct (D)	Beneficial Ownership
		•					Following	or Indirect	(Instr. 4)
					(A)		Reported Transaction(s)	(I) (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	11/20/2018		M	1,328.573	A	<u>(1)</u>	51,741.457	D	
Common Stock	11/20/2018		F	379	D	\$ 65.29	51,362.457	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Edgar Filing: Nerenhausen Frank R. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Acquired Disposed	ve Securities l (A) or	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and Ar Underlying Se (Instr. 3 and 4)
					( )	(D)	Date Exercisable	Expiration Date	Title
Options (2)	\$ 66.09	11/19/2018		Code V A	(A) 9,000	(D)	(3)	11/19/2028	Common Stock
Restricted Stock Units	<u>(4)</u>	11/19/2018		A	5,450		<u>(5)</u>	(5)	Common Stock
Restricted Stock Units	<u>(1)</u>	11/20/2018		M		1,328.573	11/20/2018	<u>(6)</u>	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Nerenhausen Frank R. C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

EVP & Pres. Access Segment

## **Signatures**

Ignacio A. Cortina, for Frank R. Nerenhausen

11/21/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (2) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (3) Options vest in one-third (1/3) annual increments commencing on 11/19/2019.
- (4) Restricted Stock Unit Award granted pursuant to the Company's Stock Plan.
- (5) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/19/2019.
- (6) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/20/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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