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OSHKOSH CORP

Form 3 March 08, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

À Freeders James C

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/06/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

OSHKOSH CORP [OSK]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O OSHKOSH **CORPORATION, Â 2307**

OREGON STREET

(Street)

10% Owner Director

(Check all applicable)

_X__ Officer Other (give title below) (specify below) Senior VP and Controller

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

OSHKOSH, Â WIÂ 54902

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5) Direct (D)

or Indirect

Common Stock

3,475.524

(Instr. 5) D

(I)

Â

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Options (1)	(2)	09/15/2021	Common Stock	559	\$ 46.94	D	Â
Options (1)	(3)	11/12/2022	Common Stock	1,400	\$ 41.52	D	Â
Options (1)	(4)	11/21/2023	Common Stock	1,750	\$ 66.89	D	Â
Restricted Stock Unit Award (5)	(6)	09/15/2017	Common Stock	311.586	\$ 0	D	Â
Restricted Stock Unit Award (5)	(7)	11/12/2018	Common Stock	700.189	\$ 0	D	Â
Restricted Stock Unit Award (5)	(8)	11/21/2019	Common Stock	802.469	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
topolong o made a made a remarks	Director	10% Owner	Officer	Other	
Freeders James C C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902	Â	Â	Senior VP and Controller	Â	

Signatures

James C.
Freeders

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (2) Options vest in one-third (1/3) annual increments commencing on 9/15/2015.
- (3) Options vest in one-third (1/3) annual increments commencing on 11/12/2016.
- (4) Options vest in one-third (1/3) annual increments commencing on 11/21/2017.
- (5) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (6) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 9/15/2015.
- (7) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/12/2016.
- (8) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/21/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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