

CASEYS GENERAL STORES INC

Form 4

June 08, 2017

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HANDLEY TERRY W**

2. Issuer Name **and** Ticker or Trading  
Symbol  
**CASEYS GENERAL STORES INC**  
**[CASY]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
**ONE CONVENIENCE BLVD., PO**  
**BOX 3001**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**06/06/2017**

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
**President & CEO**

(Street)  
**ANKENY, IA 50021**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	06/06/2017		M		3,250	A	\$ 0 23,568
Common Stock	06/06/2017		F		1,084	D	\$ 106.66 22,484
							(1)

Common  
Stock

11,624 (2) I

Voting  
and tender  
rights  
under  
401k plan

# Edgar Filing: CASEYS GENERAL STORES INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Restricted stock units <u>(3)</u>	<u>(4)</u>	06/06/2017		M	3,250	<u>(3)</u> <u>(3)</u>	Common Stock 3,250
Option - right to buy <u>(5)</u>	\$ 25.26					06/23/2012 06/23/2019	Common Stock 5,000
Option - right to buy <u>(6)</u>	\$ 44.39					06/23/2014 06/23/2021	Common Stock 20,000
Restricted stock units <u>(7)</u>	<u>(4)</u>					<u>(7)</u> <u>(7)</u>	Common Stock 8,000
Restricted stock units <u>(8)</u>	<u>(4)</u>					<u>(8)</u> <u>(8)</u>	Common Stock 3,250
Restricted stock units <u>(9)</u>	<u>(4)</u>					<u>(9)</u> <u>(9)</u>	Common Stock 3,250

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HANDLEY TERRY W ONE CONVENIENCE BLVD. PO BOX 3001	X		President & CEO	

ANKENY, IA 50021

## Signatures

Scott Faber, under Power of Attorney dated March 3,  
2017

06/08/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Closing price of Casey's General Stores, Inc. Common Stock on June 6, 2017.
- (2) Allocated to Mr. Handley's 401k account as of April 30, 2017. Does not include any shares allocated by the plan trustee after that date.
- (3) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award vested in full on June 6, 2017.
- (4) Each restricted stock unit represents the right to receive, following vesting, one share of Common Stock.
- (5) Pursuant to terms and conditions of 2000 Stock Option Plan.
- (6) Pursuant to terms and conditions of 2009 Stock Incentive Plan.

Pursuant to a Restricted Stock Units Agreement dated as of April 12, 2016, executed in conjunction with Mr. Handley's employment agreement of the same date. These units vest over a 5-year period, commencing on May 1, 2017 and thereafter on each May 1 of the following 4 years, at the rate of 2,000 units (20% of the total units awarded) per year.

- (8) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 5, 2018.
- (9) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 2, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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