SLINEY DAVID D Form 4

January 14, 2005

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 

3235-0287 Number: January 31,

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

01/12/2005

(Print or Type Responses)

1. Name and Address of Reporting Person * 2. Iss SLINEY DAVID D Symbol			Issuer Name <b>and</b> Ticker or Trading nbol			5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First) (I		STIFEL FINANCIAL CORP [SF]  3. Date of Earliest Transaction			(Check all applicable)		
` '	BIN DRIVE	(Month/	(Month/Day/Year) 01/12/2005			DirectorX Officer (give below)		Owner er (specify
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			Dir Strategic Planning & Tech  6. Individual or Joint/Group Filing(Check Applicable Line)		
ST. LOUIS, MO 63124						_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip) Tal	ole I - Non-Deriva	ative Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Transaction(A) Code (Ins (Instr. 8)	Securities Ad or Disposed str. 3, 4 and (A) or nount (D)	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock	01/12/2005			370 A	\$ 20.22	6,228	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

1,303 D

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

4,925

D

#### Edgar Filing: SLINEY DAVID D - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisal Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units	\$ 0	01/12/2005		M	3,370	01/01/2007(1)	(2)	Common Stock	3,370
Stock Option (Option to Buy)	\$ 7.8					(3)	01/02/2012	Common Stock	13,333
Stock Option (Option to Buy)	\$ 8.6925					<u>(4)</u>	02/10/2013	Common Stock	9,333
Stock Option (Option to Buy)	<u>(5)</u>					<u>(6)</u>	<u>(7)</u>	Common Stock	17,533

## **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other

SLINEY DAVID D 49 LOG CABIN DRIVE ST. LOUIS, MO 63124

Dir Strategic Planning & Tech

## **Signatures**

Reporting Person

DAVID D	01/14/2005		
SLINEY			
**Signature of	Date		

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom Stock Units vest in 33 1/3% increments on 1/1/03, 1/1/04 and 1/1/05.
- (2) No expiration date for these Units.
- (3) Options vest in 20% increments on 1/2/03, 1/2/04, 1/2/05, 1/2/06 and 1/2/07.
- (4) Options vest in 20% increments on 2/10/04, 2/10/05, 2/10/06, 2/10/07 and 2/10/08.
- (5) Various exercise prices.
- (6) Various exercisable dates.
- (7) Various expiration dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.