

KING ROBERT F
Form 4
January 19, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
KING ROBERT F

(Last) (First) (Middle)

**C/O AMTECH SYSTEMS INC, 131
SOUTH CLARK DRIVE**

(Street)

TEMPE, AZ 85281

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

AMTECH SYSTEMS INC [ASYS]

3. Date of Earliest Transaction
(Month/Day/Year)

01/18/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction(A) or Disposed of (D) Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018		M ⁽¹⁾		2,000	A	\$ 2.95 10,200
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018		M ⁽¹⁾		1,666	A	\$ 6.15 11,866

Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	2,000	A	\$ 7.01	13,866	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	5,000	A	\$ 11.05	18,866	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	1,033	A	\$ 7.98	19,899	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	2,000	A	\$ 9.98	21,899	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	2,000	A	\$ 5.25	23,899	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	2,000	A	\$ 5.75	25,899	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>S</u> (1)	17,699	D	\$ 11.25	8,200	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 2.95	01/18/2018		M	2,000	12/11/2013	12/11/2022	Amtech Systems, Inc. \$.01 par value common stock	2,000
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 6.15	01/18/2018		M	1,666	11/20/2010	11/20/2019	Amtech Systems, Inc. \$.01 par value common stock	1,666
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 7.01	01/18/2018		M	2,000	12/12/2014	12/12/2023	Amtech Systems, Inc. \$.01 par value common stock	2,000
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 11.05	01/18/2018		M	5,000	03/14/2009	03/14/2018	Amtech Systems, Inc. \$.01 par value common stock	5,000
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 7.98	01/18/2018		M	1,033	12/15/2012	12/15/2021	Amtech Systems, Inc. \$.01 par value common stock	1,033
	\$ 9.98	01/18/2018		M	2,000	11/19/2015	11/19/2024		2,000

Amtech
Systems, Inc.
Non-Employee
Director Stock
Option

Amtech
Systems,
Inc. \$.01
par value
common
stock

Amtech
Systems, Inc.
Non-Employee \$ 5.25 01/18/2018 M 2,000 11/17/2016 11/17/2025
Director Stock
Option

Amtech
Systems,
Inc. \$.01
par value
common
stock

Amtech
Systems, Inc.
Non-Employee \$ 5.75 01/18/2018 M 2,000 09/18/2017 03/17/2027
Director Stock
Option

Amtech
Systems,
Inc. \$.01
par value
common
stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KING ROBERT F C/O AMTECH SYSTEMS INC 131 SOUTH CLARK DRIVE TEMPE, AZ 85281	X			

Signatures

Robert T. Hass on behalf of Bob King by Power of Attorney 01/19/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option exercise and sales are pursuant to a previously disclosed 10b5-1 plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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