Edgar Filing: AVERITT RICHARD G - Form 4

AVERITT RICHARD G

Form 4

December 05, 2005

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

(Middle)

(Zip)

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

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Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Washington, D.C. 20549

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * **AVERITT RICHARD G**

2. Issuer Name and Ticker or Trading

Issuer

Symbol

RAYMOND JAMES FINANCIAL

(Check all applicable)

5. Relationship of Reporting Person(s) to

INC [RJF]

(First)

(Street)

(State)

3. Date of Earliest Transaction (Month/Day/Year)

X_ Officer (give title below)

Director

10% Owner Other (specify

12/01/2005

below) CEO - RJFS

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

880 CARILLON PARKWAY

ST. PETERSBURG, FL 33716

4. If Amendment, Date Original Filed(Month/Day/Year)

(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Indirect (I) Owned Following (Instr. 4) Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership (Instr. 4)

(A) Transaction(s) or

Code V Amount (D) Price

(Instr. 3 and 4)

Common Stock

116,810

Common Stock

25,392 (1) T **ESOP**

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Number of Share
Employee Stock Option (right to buy)	\$ 21.33					11/28/2004	01/28/2007	Common Stock	9,000
Employee Stock Option (right to buy)	\$ 21.03					12/10/2005 <u>(2)</u>	02/10/2008	Common Stock	28,39
Employee Stock Option (right to buy)	\$ 21.03					12/10/2005(3)	02/10/2008	Common Stock	9,102
Employee Stock Option (right to buy)	\$ 25.2					12/04/2006	02/04/2009	Common Stock	4,800
Employee Stock Option (right to buy)	\$ 25.2					01/04/2008(4)	02/04/2009	Common Stock	7,200
Employee Stock Option (right to buy)	\$ 37.46	12/01/2005		M	7,506	12/01/2008(5)	02/01/2011	Common Stock	7,506
Employee Stock Option	\$ 37.46	12/01/2005		M	2,494	12/01/2008 <u>(6)</u>	02/01/2011	Common Stock	2,494

(right to buy)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

AVERITT RICHARD G 880 CARILLON PARKWAY ST. PETERSBURG, FL 33716

CEO - RJFS

Signatures

Richard G. Averitt 12/02/2005

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes number of shares acquired under ESOP through 12/2004
- (2) Options Becoming exercisable 21,079 on 12/10/2005, 4,572 on 12/10/2006 and 2,747 on 12/10/2007
- (3) Options Becoming exercisable 1,420 on 12/10/2005, 2,928 on 12/10/2006 and 4,754 on 12/10/2007
- (4) Options Becoming exercisable 3,600 on 1/04/2008, and 3,600 on 1/04/2009
- (5) Options Becoming exercisable 5,753 on 12/01/2008, and 1,753 on 12/01/2009
- (6) Options Becoming exercisable 247 on 12/01/2008, 247 on 12/01/2009 and 2,000 on 12/01/2010

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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