Gottscho Richard A Form 4 March 05, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

obligations may continue. *See* Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Form 5

(Print or Type Responses)

1. Name and A Gottscho Ri	Address of Repo	rting Person *	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			LAM RESEARCH CORP [LRCX]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
4650 CUSHING PARKWAY			(Month/Day/Year) 03/01/2018	Director 10% Owner _X_ Officer (give title Other (specify below) Executive Vice President		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
FREMONT, CA 94538			Filed(Month/Day/Year)	Applicable Line) _X_Form filed by One Reporting PersonForm filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I. Nov. Doctor Co. Co. Co. Co.			

(City)	(State)	(Zip) Tab l	e I - Non-I	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or		d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount		Price	(Instr. 3 and 4)		
Common Stock	03/01/2018		F	2,331	D	\$ 190.07	56,814 (1)	D	
Common Stock	03/01/2018		F	1,854	D	\$ 190.07	54,960 (1)	D	
Common Stock	03/01/2018		A	5,263 (2)	A	\$0	60,223 (1)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: Gottscho Richard A - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Market-based Performance Restricted Stock Unit	\$ 0	03/01/2018		A	6,579	(3)	(3)	Common Stock	6,579
Employee Stock Option (Right to Buy)	\$ 190.07	03/01/2018		A	5,260	<u>(4)</u>	03/01/2025	Common Stock	5,260

Reporting Owners

Reporting Owner Name / Address	Relationships
T	

Director 10% Owner Officer Other

Gottscho Richard A

4650 CUSHING PARKWAY Executive Vice President FREMONT, CA 94538

Signatures

Leign Cramer by Power of O3/05/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount reported includes shares subject to unvested Restricted Stock Units.
- $\textbf{(2)} \quad \text{The Restricted Stock Units will vest in three equal installments on } 03/01/2019, 03/01/2020, \text{ and } 03/01/2021.$
 - These Market-based Performance Restricted Stock Units vest between 0% and 150% on 03/01/2021. The performance of this award is
- (3) based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of 02/01/2018 through 01/31/2021.
- (4) The stock option becomes exercisable in three equal installments on 03/01/2019, 03/01/2020, and 03/01/2021.

Reporting Owners 2

Edgar Filing: Gottscho Richard A - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.