Edgar Filing: MARSH & MCLENNAN COMPANIES INC - Form 8-K

MARSH & MCLENNAN COMPANIES INC Form 8-K October 29, 2003

	Washington, D.C. 20549	
	FORM 8-K	
	CURRENT REPORT	
PUF	RSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934	
	October 28, 2003	
	(Date of earliest event reported)	
	Marsh & McLennan Companies, Inc.	
(Exact nam	ne of registrant as specified in its cha	arter)
Delaware	1 5000	36-2668272
(State or other jurisdiction of incorporation)	(Commission File Number)	(IRS Employer Identification
1166 Avenue of the Americas, New York, NY		10036
(Address of principal executive offices)		(Zip Code)

(212) 345-5000

(Registrant's telephone number, including area code)

Edgar Filing: MARSH & MCLENNAN COMPANIES INC - Form 8-K

Item 5. Other Events and Required FD Disclosure

On October 28, 2003, the Securities Exchange Commission and the Commonwealth of Massachusetts initiated administrative proceedings against Putnam Investments and two employees in connection with alleged market timing activities. Attached as exhibits 99.1, 99.2 and 99.3 are copies of the complaints.

Item 7. Financial Statements and Exhibits.

- (a) None.
- (b) None.
- (c) Exhibits
 - 99.1 Administrative Proceeding (File No 3-11317) instituted by the SEC
 - 99.2 Civil Action (No. 03-12082-EFH) (D. Mass.) SEC v. Justin M. Scott and Omid Kamshad
 - 99.3 Administrative Complaint (Docket No. E-2003-061) instituted by the Commonwealth of Massachusetts

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MARSH & McLENNAN COMPANIES, INC.

By: /s/ Leon J. Lichter

Name: Leon J. Lichter
Title: Vice President &
Corporate Secretary

Date: October 29, 2003

Edgar Filing: MARSH & MCLENNAN COMPANIES INC - Form 8-K

Exhibit Index

99.1	Administrative Proceeding (File No 3-11317) instituted by the SEC
99.2	Civil Action (No. 03-12082-EFH) (D. Mass.) SEC v. Justin M. Scott and Omid Kamshad
99.3	Administrative Complaint (Docket No. E-2003-061)
	instituted by the Commonwealth of Massachusetts