Edgar Filing: WARNER BRADFORD H - Form 4

WARNER BRADFORD H

Form 4 February 20, 2003

See Instruction 1(b).

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

Name and Address of Reporting Person* Warner Bradford H.					ime and Tion		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) (First) (Middle) FleetBoston Financial Corporation 100 Federal Street				rting	entification g Person, (voluntary)	Number		th/Day/Year 8/03	Director				
	(Street)						5 If	I	President	Joint/Group Filing			
(Street) Boston, MA 02110							Date	of Original (nth/Day/Year) F	7. Individual or Joint/Group Filing Check Applicable Line) K Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City	(City) (State) (Zip)				e I Non-I	Derivati	ive Secu	curities Acquired, Disposed of, or Beneficially Owned					
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/	2A. Deemed Execution Date, if any	3. Transaction Code (Instr. 8		4. Securities or Dispose (Instr. 3, 4	d of (D))	5. Amount of Securities Beneficially Owned Follow-	. I	7. Nature of Indirect Beneficial Ownership			
	Year)	(Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	ing Reported Transactions(s) (Instr. 3 & 4)	(Instr. 4)	(Instr. 4)			
Common Stock	02/18/03		$\mathbf{A}_{\underline{}}^{(1)}$		7,452	A			D				
Common Stock	02/19/03		F (2)		2,482	D	\$24.91	247,42	0 D				
Common Stock	ζ .							7,071.831	3 I	401(K) Plan(3)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially

(e.g., puts, calls, warrants, options, convertible securities)

		· 0	/ 1 /			. /	/				
1. Title of	2. Conver-	3. Trans-	3A.	4.	5. Number	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Natı
Derivative	sion or	action	Deemed	Trans-	of	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indir

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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(Instr. 3)	Price of Derivative Security		if any (Month/	action Code (Instr. 8)		Derivat Securiti Acquire (A) or Dispose of (D) (Instr. 3	ies ed ed	(Month/Day/		Underlying Securities (Instr. 3 & 4)		(Instr. 5)	Beneficially Owned Following Reported Transaction(s) (Instr. 4)	1	Benefic Owners (Instr. 4
				Code	V	(A)	` ′	Exer-cisable	Expira- tion Date		Amount or Number of Shares			(I) (Instr. 4)	
Performance Restricted Stock Units	1-for-1	02/18/03		A (4)	V	75,000		(4)		Common Stock	75,000		75,000	D	

Explanation of Responses:

- (1) Award of restricted stock pursuant to the FleetBoston Financial 1996 Long-Term Incentive Plan.
- (2) Withholding of shares or delivery of previously owned shares to satisfy tax withholding obligations in connection with the vesting of restricted stock.
- (3) Based on a plan statement as of December 31, 2002.
- (4) Award of performance restricted stock units pursuant to the FleetBoston Financial 1996 Long-Term Incentive Plan. The restrictions on these units will lapse if the Corporation meets certain pre-established earnings per share targets in 2004 and 2005.

By: /s/ Martha R. Francis
Martha R. Francis, Attorney-in-Fact

**Signature of Reporting Person

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).