

SPDR SERIES TRUST
Form SC 13G/A
October 10, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934
(Amendment No.) *

SPDR S&P RETAIL ETF
(Name of Issuer)

Common Stock
(Title of Class of Securities)

78464A714
(CUSIP Number)

30-Sep-18
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this
Schedule is filed:

☒ Rule 13d-1(b)
☐ Rule 13d-1(c)
☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting
person's initial filing on this form with respect to the subject class
of securities, and for any subsequent amendment containing information
which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not
be deemed to be 'filed' for the purpose of Section 18 of the Securities
Exchange Act of 1934 ('Act') or otherwise subject to the liabilities of
that section of the Act but shall be subject to all other provisions of
the Act (however, see the Notes).

CUSIP No. 78464A714

1. Names of Reporting Persons.

Barclays PLC

2. Check the Appropriate Box if a Member of a Group (See Instructions)

(a) ☐
(b) ☐

3. SEC Use Only

4. Citizenship or Place of Organization

England, United Kingdom

Edgar Filing: SPDR SERIES TRUST - Form SC 13G/A

Number of	5. Sole Voting Power
Shares	259,370
Beneficially	-----
Owned by Each	6. Shared Voting Power
Reporting	-0-
Person With:	-----
	7. Sole Dispositive Power
	259,370

	8. Shared Dispositive Power
	-0-

9. Aggregate Amount Beneficially Owned by Each Reporting Person

259,370

10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares
(See Instructions) []

11. Percent of Class Represented by Amount in Row (9)

1.67%

12. Type of Reporting Person (See Instructions)

HC

CUSIP No. 78464A714

1. Names of Reporting Persons.

Barclays Bank PLC

2. Check the Appropriate Box if a Member of a Group (See Instructions)

(a) []

(b) []

3. SEC Use Only

4. Citizenship or Place of Organization

England, United Kingdom

Number of	5. Sole Voting Power
Shares	110,300
Beneficially	-----
Owned by Each	6. Shared Voting Power
Reporting	-0-
Person With:	-----
	7. Sole Dispositive Power
	110,300

	8. Shared Dispositive Power

Edgar Filing: SPDR SERIES TRUST - Form SC 13G/A

-0-

9. Aggregate Amount Beneficially Owned by Each Reporting Person

110,300

10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares
(See Instructions) []

11. Percent of Class Represented by Amount in Row (9)

0.71%

12. Type of Reporting Person (See Instructions)

BD

CUSIP No. 78464A714

1. Names of Reporting Persons.

Barclays Capital Derivatives Funding LLC

2. Check the Appropriate Box if a Member of a Group (See Instructions)

(a) []

(b) []

3. SEC Use Only

4. Citizenship or Place of Organization

Delaware, United States

Number of
Shares

5. Sole Voting Power
149,070

Beneficially
Owned by Each
Reporting
Person With:

6. Shared Voting Power
-0-

7. Sole Dispositive Power
149,070

8. Shared Dispositive Power
-0-

9. Aggregate Amount Beneficially Owned by Each Reporting Person

149,070

10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares
(See Instructions) []

Edgar Filing: SPDR SERIES TRUST - Form SC 13G/A

11. Percent of Class Represented by Amount in Row (9)

0.96%

12. Type of Reporting Person (See Instructions)

BD

Item 1.

(a) Name of Issuer:

SPDR S&P RETAIL ETF

(b) Address of Issuer's Principal Executive Offices:

ONE LINCOLN STREET CPH0326
BOSTON MA 02111

Item 2.

(a) Name of Person Filing:

- (1) Barclays PLC
- (2) Barclays Bank PLC
- (3) Barclays Capital Derivatives Funding LLC

(b) Address of Principal Business Office or, if none, Residence:

(1) Barclays PLC
1 Churchill Place,
London, E14 5HP, England

(2) Barclays Bank PLC
1 Churchill Place,
London, E14 5HP, England

(3) Barclays Capital Derivatives Funding LLC
C/O Corporation Trust Company
Corporation Trust Center
1209 Orange Street
Wilmington, DE 19801

(c) Citizenship:

- (1) Barclays PLC: England, United Kingdom
- (2) Barclays Bank PLC: England, United Kingdom
- (3) Barclays Capital Derivatives Funding LLC:
Delaware, United States

(d) Title of Class of Securities: Common Stock

(e) CUSIP Number: 78464A714

Edgar Filing: SPDR SERIES TRUST - Form SC 13G/A

Item 3. If this statement is filed pursuant to Sub-Section 240.13d-1 (b) or 240.13d-2(b) or (c), check whether the person filing is a:

- (a) ☒ [X] Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o);
 - (b) ☐ [] Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
 - (c) ☐ [] Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
 - (d) ☐ [] Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
 - (e) ☐ [] An investment adviser in accordance with Sub-Section 240.13d-1(b)(1)(ii)(E);
 - (f) ☐ [] An employee benefit plan or endowment fund in accordance with Sub-Section 240.13d-1(b)(1)(ii)(F);
 - (g) ☒ [X] A parent holding company or control person in accordance with Sub-Section 240.13d-1(b)(1)(ii)(G);
 - (h) ☐ [] A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
 - (i) ☐ [] A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
 - (j) ☒ [X] A non-U.S. institution that is the functional equivalent of any of the institutions listed in Rule 240.13d-1 (b)(1)(ii)(A) through (I);
 - (k) ☐ [] Group, in accordance with Sub-Section 240.13d-1(b)(1)(ii)(J).
-

Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- (a) Amount beneficially owned:
See the response(s) to Item 9 on the attached cover page(s).
- (b) Percent of class:
See the response(s) to Item 11 on the attached cover page(s).
- (c) Number of shares as to which the person has:
 - (i) Sole power to vote or to direct the vote:
See the response(s) to Item 5 on the attached cover page(s).
 - (ii) Shared power to vote or to direct the vote:
See the response(s) to Item 6 on the attached cover page(s).
 - (iii) Sole power to dispose or to direct the disposition of:
See the response(s) to Item 7 on the attached cover page(s).
 - (iv) Shared power to dispose or to direct the disposition of:
See the response(s) to Item 8 on the

Edgar Filing: SPDR SERIES TRUST - Form SC 13G/A

attached cover page(s).

Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following

[X]

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not Applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company.

See Exhibit A.

Item 8. Identification and Classification of Members of the Group.

Not Applicable.

Item 9. Notice of Dissolution of Group.

Not Applicable.

Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: October 10, 2018

Edgar Filing: SPDR SERIES TRUST - Form SC 13G/A

By : David Henderson

Title: Director

INDEX TO EXHIBITS

Exhibit A	Item 7 Information
Exhibit B	Joint Filing Agreement

EXHIBIT A

The securities being reported on by Barclays PLC,
as a parent holding company,
are owned, or may be deemed to be beneficially owned,
by Barclays Bank PLC,
a non-US banking institution registered with the Financial
Conduct Authority authorised by the Prudential Regulation
Authority and regulated by the Financial
Conduct Authority and the Prudential Regulation Authority in
the United Kingdom and
Barclays Capital Derivatives Funding LLC.,
Barclays Bank PLC, and
Barclays Capital Derivatives Funding LLC.
are wholly-owned subsidiaries of Barclays PLC.

EXHIBIT B

JOINT FILING AGREEMENT

The undersigned hereby agree that the Statement on Schedule 13G filed
herewith (and any amendments thereto), is being filed jointly with the
Securities and Exchange Commission pursuant to
Rule 13d-1(k) (1) under the Securities Exchange Act of 1934,
as amended, on behalf of each such person.

Dated: October 10, 2018

BARCLAYS PLC

By:

Name: David Henderson

Title: Director

Barclays Bank PLC

By:

Name: David Henderson

Title: Director

Edgar Filing: SPDR SERIES TRUST - Form SC 13G/A

Barclays Capital Derivatives Funding LLC

By:

Name: David Henderson

Title: Director