### Edgar Filing: INC Research Holdings, Inc. - Form 4

INC Research Holdings, Inc. Form 4

Stock

September 1	16, 2016												
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL					
	UNITED	STATES				ND EX( D.C. 20		NGE CO	OMMISSION	OMB Number:	3235-0287		
Check tl if no lon subject t Section Form 4 Form 5 obligatio	F CHANGES IN BENEFICIAL OWN SECURITIES Section 16(a) of the Securities Exchange Public Utility Holding Company Act of						Act of 1934,	Expires: Estimated a burden hour response					
may con <i>See</i> Instr 1(b).		30(h)	of the Ir	nvestme	ent	Compan	y Ac	t of 1940					
(Print or Type	Responses)												
			2. Issuer Name <b>and</b> Ticker or Trading Symbol INC Research Holdings, Inc. [INCR]					]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (I	Middle)	3. Date o	of Earlies	t Tr	ransaction			(Спеск	all applicable	)		
	ESEARCH HOL BEECHLEAF C		(Month/I 09/14/2	•	.)			- 1	Director _X Officer (give t below) CAC		Owner er (specify		
	(Street)		4. If Ame Filed(Mo			te Original		1	5. Individual or Joi Applicable Line) _X_ Form filed by Oi	ne Reporting Pe	rson		
RALEIGH	, NC 27604							Ī	Form filed by Mo Person	ore than One Re	porting		
(City)	(State)	(Zip)	Tab	le I - No	n-D	Derivative S	Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deen Executior any (Month/D	n Date, if	3. Transac Code (Instr. 8 Code	3)	4. Securiti nor Dispose (Instr. 3, 4 Amount	ed of (	(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common Stock	09/14/2016			M <sup>(1)</sup>	·	4,734	A		19,252	D			
Class A Common Stock	09/14/2016			S <u>(1)</u>		4,734	D	\$ 43.142 (2)	14,518	D			
Class A Common	09/15/2016			А		26,782 (3)	А	\$ 0	41,300	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Date, ifTransaction DerivativeExpiration DateCodeSecurities(Month/Day/Year)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy Class A Common Stock)	\$ 10.06	09/14/2016		M <u>(1)</u>	4,734	<u>(4)</u>	08/18/2023	Class A Common Stock	4,734	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Gaenzle Christopher L C/O INC RESEARCH HOLDINGS, INC. 3201 BEECHLEAF COURT, SUITE 600 RALEIGH, NC 27604			CAO, GC & Sec			

# Signatures

/s/ Christopher L. Gaenzle <u>\*\*Signature of Reporting</u> Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 4, 2016.

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The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$42.94
 (2) to \$43.44, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

In connection with the reporting person's entry into a Retention Agreement with the Issuer effective September 15, 2016, the reporting(3) person received an award of restricted stock units which vest in three equal annual installments beginning on September 15, 2017, subject to continued employment.

One half of the shares subject to this option vest yearly in five equal installments beginning on August 18, 2014, subject to continued
 (4) employment. The other half of the shares subject to this option vest yearly in five equal installments beginning on December 31, 2013, subject to continued employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.