**DISH Network CORP** Form 3

October 10, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement DISH Network CORP [DISH] Swain Steven E (Month/Day/Year) 10/01/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 9601 S. MERIDIAN BLVD. (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer \_ Other Person ENGLEWOOD, Â COÂ 80112 (give title below) (specify below) Form filed by More than One SVP and CFO Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Class A Common Stock Ι  $I^{(1)}$ 231 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02)

owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		Securities U	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(2)	09/30/2021	Class A Common Stock	6,000	\$ 22.28	D	Â
Employee Stock Option (Right to Buy)	(3)	01/01/2023	Class A Common Stock	12,000	\$ 36.4	D	Â
Employee Stock Option (Right to Buy)	(3)	01/01/2023	Class A Common Stock	12,000	\$ 65.61	D	Â
Restricted Stock Units	(3)	01/01/2023	Class A Common Stock	12,000	\$ <u>(4)</u>	D	Â
Employee Stock Option (Right to Buy)	(5)	07/01/2024	Class A Common Stock	25,000	\$ 65.61	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Swain Steven E			SVP			
9601 S. MERIDIAN BLVD.	Â	Â	and	Â		
ENGLEWOOD, CO 80112			CFO			

# **Signatures**

/s/Steven E. Swain, by Brandon Ehrhart his Attorney in Fact 10/10/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) By 401(k).
- (2) The shares underlying the option vest at the rate of 20% per year, commencing on September 30, 2011.
- (3) The grant is subject to achievement of certain performance criteria prior to September 30, 2022 and will vest based on achievement of such criteria. The performance criteria are not tied to the market price of the Issuer's securities.
- (4) Each unit converts upon vesting into one share of stock, which will be issued to the reporting person immediately upon vesting.
- (5) The shares underlying the option vest at the rate of 20% per year, commencing on July 1, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2