HARTNETT MICHAEL J

Form 4 June 28, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005 Estimated average

0.5

burden hours per response...

5. Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person *

1. Name and Address of Reporting Person * HARTNETT MICHAEL J			2. Issuer Name and Ticker or Trading Symbol RBC Bearings INC [ROLL]					5. Relationship of Reporting Person(s) to Issuer			
			KBC Be	arıngs	IIN	CIROL	LJ		(Chec	k all applicable)
(Last)	(First) (I	Middle)	3. Date of	Earliest	Tra	nsaction					
			(Month/D	ay/Year)				Director		Owner
102 WILLENBROCK ROAD, ONE			06/27/2018					Officer (give below)	title Othe below)	r (specify	
TRIBOLO	GY CENTER								· · · · · · · · · · · · · · · · · · ·	ident and CEO	
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
	Filed(Month/Day/Year)						Applicable Line) _X_ Form filed by One Reporting Person				
OXFORD,	CT 06478								Form filed by M Person	fore than One Re	porting
(City)	(State)	(Zip)	Table	e I - Noi	n-De	erivative	Secur	rities Acq	uired, Disposed of	, or Beneficial	y Owned
1.Title of	2. Transaction Date	e 2A. Deei	med	3.		4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Executio	n Date, if	Transa	ctio	n(A) or Di	spose	d of (D)	Securities	Form: Direct	Indirect
(Instr. 3)		any		Code		(Instr. 3,	4 and	5)	Beneficially	(D) or	Beneficial
		(Month/I	Day/Year)	(Instr.	8)				Owned	Indirect (I)	Ownership
									Following	(Instr. 4)	(Instr. 4)
							(A)		Reported Transaction(s)		
							or		(Instr. 3 and 4)		
				Code	V	Amount	(D)	Price	· · · · · · · · · · · · · · · · · · ·		
Common	06/27/2019			E		5,142	D	\$	474,834 (2) (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

(4)(5)

128.5

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and 4	ecurities l
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 51.08					06/14/2014(6)	06/14/2020	Common Stock	20,000
Option to Purchase Common Stock	\$ 64.15					07/01/2015 <u>(7)</u>	07/01/2021	Common Stock	40,000
Option to Purchase Common Stock	\$ 72.83					07/01/2016(8)	07/01/2022	Common Stock	48,000
Option to Purchase Common Stock	\$ 72.94					07/08/2017 <u>(9)</u>	07/08/2023	Common Stock	80,000
Option to Purchase Common stock	\$ 99.64					06/27/2018(10)	06/27/2024	Common Stock	80,000
Option to Purchase Common Stock	\$ 132.12					06/07/2019(11)	06/07/2025	Common Stock	76,000

Reporting Owners

Reporting Owner Name / Address		Re	elationships			
• 0	Director	Director 10% Owner Officer		Other		
HARTNETT MICHAEL J 102 WILLENBROCK ROAD ONE TRIBOLOGY CENTER OXFORD, CT 06478			President and CEO			

Reporting Owners 2

Signatures

/s/Thomas J. Williams /attorney in fact 06/28/2018

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Represents shares withheld by the Company to pay tax liability related to the vesting of restricted stock
- 13,067 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse on 7/1/2018. Unvested shares of Restricted

 (2) Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.
- (3) 26,133 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule 1/2 vest on 6/27/2019 and 1/2 vest on 6/27/2020. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.
- (4) 33,567 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule 1/2 vest on 7/8/2018, 1/2 vest on 7/8/2019. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.
- (5) 52,040 shares of the reported Common Stock are Restricted Shares. 1/3 vest on 6/7/2019, 1/3 vest on 6/7/2020 and 1/3 vest on 6/7/2021. Unvested shares of Restricted Stock (i)may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.
- (6) Options to purchase shares of Common Stock vest on 6/14/2018. Options expire 7 years from grant date.
- Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/2 vest on 7/1/2018 and 1/2 vest on 7/1/2019. Options expire 7 years from grant date
- (8) Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/3 vest on 7/1/2018, 1/3 vest on 7/1/2019 and 1/3 vest on 7/1/2020. Options expire 7 years from grant date.
- Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/4 vest on 7/8/2018, 1/4 vest on 7/8/2019, 1/4 vest on 7/8/2020 and 1/4 vest on 7/8/2021. Options expire 7 years from grant date.
- Options to purchase shares of Common Stock are subject to the following vesting schedule 1/4 vest on 6/27/2019, 1/4 vest on 6/27/2020, 1/4 vest on 6/27/2021 and 1/4 vest on 6/27/2022. Options expire 7 years from grant date.
- Options to purchase shares of Common Stock are subject to the following vesting schedule 1/5 vest on 6/7/2019, 1/5 vest on 6/7/2020, 1/5 vest on 6/7/2021, 1/5 vest on 6/7/2022 and 1/5 vest on 6/7/2023. Options expire 7 years from grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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