Edgar Filing: HARRINGTON BARBARA J - Form 4

HARRINGTON BARBARA J

Form 4

February 04, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

2,223

1,499

I

Ι

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average

OMB APPROVAL

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person *

HARRINGTON BARBARA J		2. Issuer Name and Ticker or Trading Symbol				ng	Issuer					
			FIRST BUSEY CORP /NV/ [BUSE]					(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction					(Check an applicable)				
100 WEST UNIVERSITY AVENUE			(Month/Day/Year) 12/31/2018					Director 10% OwnerX Officer (give title Other (specify below) EVP & Chief Risk Officer				
СНАМРАЮ					4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
								Person				
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative (Secur	ities Ac	quired, Disposed	of, or Benefici	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Execution	emed on Date, if Day/Year)	3. Transactic Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	l (A) c l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	02/01/2019			A	246 (1)	A	\$0	49,890	D			
Common Stock	12/31/2018			P	319 (2)	A	\$ 0	2,499	I	Employee Stock Purchase Plan		
Common Stock	12/31/2018			A	434 (3)	A	\$0	5,894	I	401(k) & P/S		
Common								2 223	T	FSOP Plan		

ESOP Plan

IRA

Edgar Filing: HARRINGTON BARBARA J - Form 4

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Date		Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secur
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security Acquired									Follo	
					(A) or						Repo
					Disposed						Trans
					of (D)					(Instr	
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date Expiration, Exercisable Date	•	Title N	Number		
									of		
				Code V	(A) (D)		Shares				

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HARRINGTON BARBARA J 100 WEST UNIVERSITY AVENUE CHAMPAIGN, IL 61820

EVP & Chief Risk Officer

Signatures

/s/ Mary Lakey, attorney-in-fact 02/04/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents dividend equivalent rights accrued on Restricted Stock Units in conjunction with the payment of a cash dividend on First Busey Corporation Stock. Each dividend equivalent right is the economic equivalent of one share of First Busey Corporation Stock.
- (2) Reflects the purchase of 303 shares and 16 accumulated dividend reinvestment shares through the Company Employee Stock Purchase Plan since the Reporting Person's most recent ownership report.

Reporting Owners 2

Edgar Filing: HARRINGTON BARBARA J - Form 4

(3) Reflects allocations, contributions and dispositions that have occurred since the Reporting Person's most recent ownership report. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, <i>see</i> Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.