#### HEAT BIOLOGICS, INC.

Form 3 July 23, 2013

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Harris Jennifer Lynn

(Last) (First)

C/O 100 EUROPA DRIVE

(Middle)

Statement

(Month/Day/Year)

07/23/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

HEAT BIOLOGICS, INC. [HTBX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below) VP Clinical/Regulatory Affairs

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

4. Nature of Indirect Beneficial

Form filed by More than One Reporting Person

CHAPEL HILL, Â NCÂ 27517

(Street)

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership

Form: Direct (D)

or Indirect (I) (Instr. 5)

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Expiration

Exercisable Date

Amount or Title Number of Shares

Derivative Security: Security Direct (D)

or Indirect (I) (Instr. 5)

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Options	12/01/2011	11/30/2021	Common Stock	21,740 (1)	\$ 0.64	D	Â
Options	04/29/2013	04/28/2023	Common Stock	8,696 (2)	\$ 8.81	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
coporting of the real of	Director	10% Owner	Officer	Other		
Harris Jennifer Lynn C/O 100 EUROPA DRIVE CHAPEL HILL. NC 27517	Â	Â	VP Clinical/Regulatory Affairs	Â		

### **Signatures**

/s/ Jennifer
Harris

\*\*Signature of Reporting Person

O7/23/2013

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option is currently vested and exercisable as to 8,153 shares. 1,359 shares vest and become exercisable at the end of each three month period with the last options vesting on December 1, 2015.
- (2) This option is currently vested and exercisable as to 544 shares. 181 shares vest and become exercisable on the first of each month with the last options vesting on April 1, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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