INC Research Holdings, Inc.

Form 4 July 21, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

`	• ′								
1. Name and Address of Reporting Person * Macdonald D. Jamie			Symbol		Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)		f Earliest T	ransaction				
			(Month/I	Day/Year)		_X_ Director			
C/O INC R	LDINGS,	07/20/2	016		_X_ Officer (give		er (specify		
INC., 3201	COURT,				below) below)  Chief Executive Officer				
SUITE 600	)					Cilici	Executive Offic	CI	
	(Street)		1 If Ame	andmant De	ate Original	6 Individual or I	oint/Group Filir	og(Chaolz	
(Succe)					č	6. Individual or Joint/Group Filing(Check			
			Filed(Mo	nth/Day/Yea	r)	Applicable Line) _X_ Form filed by One Reporting Person			
						_X_ Form filed by N	1 0		
RALEIGH	, NC 27604					Person	viole than One Re	porting	
(C:+-)	(54-4-)	(7:)							
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative Securities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of	2. Transaction Dat	e 2A. Deem	ned	3.	4. Securities Acquired	5. Amount of	6.	7. Nature	
Security (Month/Day/Year) Execution		*			Securities	Ownership	Indirect		
(Instr 3)	· `	onv		Code	(Instr. 3. 4 and 5)	Reneficially	Form: Direct	Ranaficia	

(City)	(State)	Table	e I - Non-D	erivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Class A Common Stock	07/20/2016		M(1)	29,586	` ´	\$ 10.57	105,795	D	
Class A Common Stock	07/20/2016		S <u>(1)</u>	29,586	D	\$ 43	76,209	D	
Class A Common Stock	07/20/2016		M <u>(1)</u>	71,007	A	\$ 10.57	147,216	D	
Class A	07/20/2016		S(1)	71,007	D	\$ 43	76,209	D	

#### Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy Class A Common Stock)	\$ 10.57	07/20/2016		M <u>(1)</u>		29,586	<u>(2)</u>	07/28/2021	Class A Common Stock	29,586
Stock Option (Right to Buy Class A Common Stock)	\$ 10.57	07/20/2016		M <u>(1)</u>		71,007	<u>(3)</u>	01/01/2023	Class A Common Stock	71,007

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
toporting of their rando radiations	Director	10% Owner	Officer	Other			
Macdonald D. Jamie C/O INC RESEARCH HOLDINGS, INC. 3201 BEECHLEAF COURT, SUITE 600 RALEIGH, NC 27604	X		Chief Executive Officer				

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### **Signatures**

/s/ Christopher L. Gaenzle, Attorney-in-Fact

07/21/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 18, 2016.
- One half of the shares subject to this option vest yearly in five equal installments beginning on July 28, 2012, subject to continued employment. The other half of the shares subject to this option vest yearly in five equal installments beginning on December 31, 2013, subject to continued employment.
- One half of the shares subject to this option vest yearly in five equal installments beginning on January 1, 2014, subject to continued employment. The other half of the shares subject to this option vest yearly in five equal installments beginning on December 31, 2013, subject to continued employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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