Edgar Filing: Prince J. Michael - Form 4

Prince J. Mic	chael											
Form 4												
November 1	6, 2012											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB AF	OMB APPROVAL			
	Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287		
Check the	205									Expires:	January 31,	
if no longer subject to STATEMENT OF CHAN				GES IN BENEFICIAL OWNE				LOW	NERSHIP OF	Estimated a	2005 Iverage	
Section 1	6.			SECURITIES						burden hours per		
Form 4 o										response 0.		
Form 5 obligation	20	^						•	e Act of 1934,			
may cont				•		•	· ·		1935 or Section	1		
<i>See</i> Instru 1(b).	uction	30(h)) of the In	vestme	ent	Compan	у Ас	t of 194	ֆ			
(Print or Type I	Responses)											
Prince J. Michael Symbol				bol Issuer ESS INC [GES]					5. Relationship of Reporting Person(s) to Issuer			
									(Checl	ck all applicable)		
(Last) (First) (Middle) 3. Date of				f Earliest Transaction					· ·			
				n/Day/Year)					Director	title 10% Owner		
C/O GUESS	S?, INC., 1444 A STREET	SOUTH	11/15/2	012					X Officer (give below) Chief C	below) berating Offic		
	(Street)		4. If Ame	ndment,	Dat	te Origina	l		6. Individual or Jo	int/Group Filin	g(Check	
			(Month/Day/Year)					Applicable Line)				
LOS ANGE	ELES, CA 900	21							_X_ Form filed by C Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - No	n-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction			3.		4. Securi			5. Amount of	6. Ownership		
Security	(Month/Day/Ye	on Date, if Transaction(A) or Disposed of (D)						Securities Beneficially	Form: Direct			
(Instr. 3)		any (Month/	Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8)					5)	Owned	D) or Beneficial ndirect (I) Ownershi	Ownership	
		× ×						Following	(Instr. 4)	(Instr. 4)		
							(A)		Reported			
							or		Transaction(s) (Instr. 3 and 4)			
C				Code	V	Amount	(D)	Price	(und 1)			
Common Stock	11/15/2012			F		916	D	\$ 22.88	39,484 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Prince J. Michael C/O GUESS?, INC. 1444 SOUTH ALAMEDA STREET LOS ANGELES, CA 90021			Chief Operating Officer					
Signatures								
/s/ Jason T. Miller (attorney-in-fact)	11/16/2012							
**Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 198 shares acquired under a tax-conditioned plan and not required to be reported pursuant to Rule 16b-3(c).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.