

Liang Charles  
Form 4  
August 04, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Liang Charles

(Last) (First) (Middle)

980 ROCK AVE.

(Street)

SAN JOSE, CA 95131

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

Super Micro Computer, Inc. [SMCI]

3. Date of Earliest Transaction  
(Month/Day/Year)

08/02/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

President and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4)                 |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|---------------------------------------------------------|
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                            | 471,330                                                                                                            | I                                                                    | By Spouse                                               |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                            | 7,144,795                                                                                                          | D                                                                    |                                                         |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                            | 15,000                                                                                                             | I                                                                    | As Trustee<br>for Green<br>Earth<br>Charitable<br>Trust |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                            | 1,077                                                                                                              | I                                                                    | By child A                                              |

# Edgar Filing: Liang Charles - Form 4

|                 |       |   |            |
|-----------------|-------|---|------------|
| Common<br>Stock | 1,077 | I | By child B |
| Common<br>Stock | 1,077 | I | By child C |
| Common<br>Stock | 1,077 | I | By child D |
| Common<br>Stock | 1,077 | I | By child E |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                  |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|-----------------|----------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V                                                                                                         | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares |
| Stock<br>Option<br>(right to<br>buy)                | \$ 29.65                                                           | 08/02/2017                              |                                                             | A                                    |                                                                                                           | 130,000                                                        |     | <u>(1)</u>                                                          | 08/02/2027         | Common<br>Stock | 130,000                          |

## Reporting Owners

| Reporting Owner Name / Address                       | Relationships |           |                   |       |
|------------------------------------------------------|---------------|-----------|-------------------|-------|
|                                                      | Director      | 10% Owner | Officer           | Other |
| Liang Charles<br>980 ROCK AVE.<br>SAN JOSE, CA 95131 | X             | X         | President and CEO |       |

## Signatures

/s/ Howard Hideshima,  
Attorney-In-Fact

08/04/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Subject to the Reporting Person's continued service to the Issuer, the option will vest and become exercisable at the rate of 12.5% of the total number of shares on August 2, 2017 and 1/48th of the total number of shares on each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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