

Super Micro Computer, Inc.
Form 5
February 14, 2017

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362
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1. Name and Address of Reporting Person *
Liu Liang Chiu-Chu Sara

(Last) (First) (Middle)

980 ROCK AVENUE

(Street)

SAN JOSE, CA 95131

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
Super Micro Computer, Inc. [SMCI]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
06/30/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

VP of Operations & Treasurer

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock	Â	Â	Â	Â	Â	Â	495,620	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	15,000	I	As Trustee for Green Earth Charitable Trust
Common Stock	10/05/2015	Â	S4	6,100	D	\$ 28.38	0	I	By child D

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Common Stock	11/16/2015	Â	G	5,500	D	\$ 0	7,155,480	I	By Spouse
Common Stock	11/16/2015	Â	G	1,100	A	\$ 0	1,100	I	By child A
Common Stock	11/16/2015	Â	G	1,100	A	\$ 0	1,100	I	By child B
Common Stock	11/16/2015	Â	G	1,100	A	\$ 0	1,100	I	By child C
Common Stock	11/16/2015	Â	G	1,100	A	\$ 0	1,100	I	By child D
Common Stock	11/16/2015	Â	G	1,100	A	\$ 0	7,200	I	By child E
Common Stock	02/29/2016	Â	S4	7,200	D	\$ 32.27	0	I	By child E
Common Stock	02/29/2016	Â	S4	1,100	D	\$ 32.3	0	I	By child A
Common Stock	02/29/2016	Â	S4	1,100	D	\$ 32.3	0	I	By child B
Common Stock	02/29/2016	Â	S4	1,100	D	\$ 32.2	0	I	By child C

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of Derivative Securities (Instr. 5)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
					(A) (D)				

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer Other

Liu Liang Chiu-Chu Sara
980 ROCK AVENUE
SAN JOSE, CA 95131

☒ ☒ ☒ VP of Operations & Treasurer ☐

Signatures

Howard Hideshima,
Attorney-In-Fact

02/13/2016

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.
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