Edgar Filing: ALPHA & OMEGA SEMICONDUCTOR Ltd - Form 4

ALPHA & OMEGA SEMICONDUCTOR Ltd

Form 4 July 06, 2016

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Form 4 or Form 5 obligations **SECURITIES**

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Chang Daniel Kuang Ming

Symbol

Director

ALPHA & OMEGA SEMICONDUCTOR Ltd [AOSL]

(Check all applicable) 10% Owner

(Last) (First) (Middle)

(Street)

(Month/Day/Year) 07/01/2016

X_ Officer (give title Other (specify below)

Senior VP of Marketing

475 OAKMEAD PARKWAY

4. If Amendment, Date Original

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

below)

SUNNYVALE, CA 94085

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities Acquired (A) 5. Amount of Transaction Disposed of (D)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Securities

Beneficially

7. Nature of Ownership Indirect Form: Beneficial

Code (Month/Day/Year)

(Instr. 3, 4 and 5) (Instr. 8)

Owned Following Reported Transaction(s) (Instr. 4)

Direct (D) Ownership or Indirect (Instr. 4)

Code V Amount (D)

Price

Common Share

(Instr. 3)

07/01/2016

\$ 2,679 S D 13.8312 $41,362 \frac{(3)}{}$ (1) (2)

(A)

D

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Title a		8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	execution Date, if any (Month/Day/Year)	Code (Instr. 8)	onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		Amount of Underlying Securities (Instr. 3 and 4)		Derivative Security (Instr. 5)	Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	or Title N of	umber		

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Chang Daniel Kuang Ming 475 OAKMEAD PARKWAY SUNNYVALE, CA 94085

Senior VP of Marketing

Date

Signatures

/s/ Yanbing Hong, attorney-in-fact for Daniel Kuang Ming 07/06/2016 Chang

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on May 31, 2016.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$13.70 to \$13.99, inclusive. The Reporting Person undertakes to provide to Alpha and Omega Semiconductor Limited, any security holder of **(2)** Alpha and Omega Semiconductor Limited, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range.
- Includes an aggregate of 38,617 shares subject to restricted share unit awards granted on February 14, 2013, March 17, 2014, March 16, 2015, and March 15, 2016, which will be issued as such units vest in accordance with their terms.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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