#### Edgar Filing: ALPHA & OMEGA SEMICONDUCTOR Ltd - Form 4

#### ALPHA & OMEGA SEMICONDUCTOR Ltd

Form 4

March 17, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Chang Daniel Kuang Ming

(First)

(Street)

2. Issuer Name and Ticker or Trading

Symbol

**ALPHA & OMEGA** 

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to

Issuer

SEMICONDUCTOR Ltd [AOSL]

(Middle)

(Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title ) \_ Other (specify below) below)

(Check all applicable)

475 OAKMEAD PARKWAY

03/15/2016

Senior VP of Marketing 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

SUNNYVALE, CA 94085

(City)	(State) (	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of	2. Transaction Date	3.	4. Securities Acquired			5. Amount of	6. Ownership	7. Nature of			
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Form: Direct	Indirect			
(Instr. 3)		any	Code	e (Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial		
		(Month/Day/Year)	(Instr. 8)	8)			Owned	Indirect (I)	Ownership		
							Following	(Instr. 4)	(Instr. 4)		
					(4)		Reported				
				(A)		Transaction(s)					
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Share	03/15/2016		F	2,509 (1)	D	\$ 11.7	23,206 (2)	D			
Common Share	03/15/2016		A	21,783 (3)	A	\$0	44,989 (2)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manuat		
									Amount		
						Date	Expiration		Or Number		
						Exercisable	Date	Title Number	Number		
				C + V	(A) (D)						
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Chang Daniel Kuang Ming 475 OAKMEAD PARKWAY SUNNYVALE, CA 94085

Senior VP of Marketing

### **Signatures**

/s/ Yanbing Hong, attorney-in-fact for Daniel Kuang Ming Chang

03/17/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares withheld to satisfy the Issuer's tax withholding obligation upon the annual vesting of restricted share units (RSU) granted on (1) March 17, 2014 and March 16, 2015.
- Includes an aggregate of 17,029 shares subject to RSU awards granted on November 17, 2010, April 26, 2012, February 14, 2013, March **(2)** 17, 2014 and March 16, 2015, which will be issued as such units vest in accordance with their terms.
- The RSU's shall vest in equal annual installments over four (4) years from March 15, 2016, provided that the Reporting Person remains in the Issuer's service through each such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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