

APACHE CORP
Form 4
February 21, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHRISTMANN JOHN J

(Last) (First) (Middle)

2000 POST OAK BLVD., SUITE
100

(Street)

HOUSTON, TX 77056-4400

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
APACHE CORP [APA]

3. Date of Earliest Transaction
(Month/Day/Year)
02/20/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

CEO and President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/20/2017		G	V 505 ⁽¹⁾ D \$ 0	54,533.345	D	
Common Stock	02/20/2017		G	V 505 ⁽²⁾ D \$ 0	54,028.345	D	
Common Stock	02/20/2017		G	V 505 ⁽³⁾ D \$ 0	53,523.345	D	
Common Stock	02/21/2017		M	6,250 A \$ 0	59,773.345	D	
Common Stock	02/21/2017		F ⁽⁴⁾	2,623 D \$ 55.44	57,150.345	D	

Edgar Filing: APACHE CORP - Form 4

Common Stock	02/20/2017	G	V	505	A	\$ 0	1,037.609	I	By JJC V 1998 Trust
Common Stock	02/20/2017	G	V	505	A	\$ 0	1,037.609	I	By CAC 1998 Trust
Common Stock	02/20/2017	G	V	505	A	\$ 0	1,037.609	I	By CEC 2003 Trust
Common Stock							1,400.853	I	By JJC IV 1984 Trust
Common Stock							2,888.208	I	Held by Trustee of 401(k) Plan
Common Stock							32,316.939	I	Held by Trustee of NQ Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Phantom Stock Units ⁽⁵⁾	\$ 0 ⁽⁵⁾	02/21/2017		M	6,250	⁽⁶⁾ ⁽⁶⁾	Common Stock 6,250
Restricted Stock / Units ⁽⁷⁾	\$ 0 ⁽⁸⁾	02/21/2017		M	12,500	⁽⁹⁾ ⁽⁹⁾	Common Stock 12,500

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other

CHRISTMANN JOHN J
2000 POST OAK BLVD.
SUITE 100
HOUSTON, TX 77056-4400

X

CEO and President

Signatures

Raj Sharma,
Attorney-in-Fact

02/21/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Gift to the JJCVC 1998 Trust of which the reporting person is the trustee.
- (2) Gift to the CAC 1998 Trust of which the reporting person is the trustee.
- (3) Gift to the CEC 2003 Trust of which the reporting person is the trustee.
- (4) Shares withheld to cover required tax withholding on vesting of restricted stock effective as of 02/18/2017. Data provided by plan administrator on 02/21/2017.
- (5) One share of Apache common stock for each phantom stock unit.
- (6) Exempt acquisition pursuant to Rule 16a-3(d). Accrued under the deferred compensation provisions of Apache's Deferred Delivery Plan - data provided by the plan administrator on 02/21/2017.
- (7) With tandem tax withholding right
- (8) One share of Apache common stock for each restricted stock unit.
- (9) Vesting as of 02/18/2017 of restricted stock units pursuant to restricted stock unit award agreement under employer plan. Of these shares, 60 percent (net of required tax withholding) will not be eligible for sale by the reporting person until such time as he retires or otherwise terminates employment with the company. Data provided by plan administrator on 02/21/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.