

WILLAMETTE VALLEY VINEYARDS INC

Form 4

July 07, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BERNAU JAMES W

2. Issuer Name and Ticker or Trading Symbol  
WILLAMETTE VALLEY VINEYARDS INC [WVVI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

8800 ENCHANTED WAY SE

07/05/2016

Chief Executive Officer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

TURNER, OR 97392

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	07/05/2016	07/05/2016	S	4,300	D \$ 8	497,934	D
Common Stock	07/05/2016	07/05/2016	S	300	D \$ 8.01	497,634	D
Common Stock	07/05/2016	07/05/2016	S	400	D \$ 8.025	497,234	D
Common Stock	07/05/2016	07/05/2016	S	100	D \$ 8.03	497,134	D
Common Stock	07/05/2016	07/05/2016	S	200	D \$ 8.04	496,934	D

Edgar Filing: WILLAMETTE VALLEY VINEYARDS INC - Form 4

Common Stock	07/05/2016	07/05/2016	S	100	D	\$ 8.045	496,834	D
Common Stock	07/05/2016	07/05/2016	S	3,003	D	\$ 8.05	493,831	D
Common Stock	07/05/2016	07/05/2016	S	200	D	\$ 8.06	493,631	D
Common Stock	07/05/2016	07/05/2016	S	100	D	\$ 8.08	493,531	D
Common Stock	07/05/2016	07/05/2016	S	300	D	\$ 8.1	493,231	D
Common Stock	07/05/2016	07/05/2016	S	100	D	\$ 8.3	493,131	D
Common Stock	07/05/2016	07/05/2016	S	550	D	\$ 8.31	492,581	D
Common Stock	07/05/2016	07/05/2016	S	100	D	\$ 8.32	492,481	D
Common Stock	07/05/2016	07/05/2016	S	50	D	\$ 8.39	492,431	D
Common Stock	07/05/2016	07/05/2016	S	1,200	D	\$ 8.42	491,231	D
Common Stock	07/06/2016	07/06/2016	S	1,200	D	\$ 8	490,031	D
Common Stock	07/06/2016	07/06/2016	S	900	D	\$ 8.01	489,131	D
Common Stock	07/06/2016	07/06/2016	S	359	D	\$ 8.02	488,772	D
Common Stock	07/06/2016	07/06/2016	S	100	D	\$ 8.11	488,672	D
Common Stock	07/06/2016	07/06/2016	S	300	D	\$ 8.12	488,372	D
Common Stock	07/06/2016	07/06/2016	S	700	D	\$ 8.13	487,672	D
Common Stock	07/06/2016	07/06/2016	S	438	D	\$ 8.18	487,234	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

Edgar Filing: WILLAMETTE VALLEY VINEYARDS INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 6)
--	--	--------------------------------------	--	--------------------------------	---	--	---	--	---

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BERNAU JAMES W 8800 ENCHANTED WAY SE TURNER, OR 97392	X	X	Chief Executive Officer	

## Signatures

/s/ James W. Bernau 07/07/2016

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.