Edgar Filing: TARTAGLIA THOMAS G - Form 4

TARTAGLI	A THOMAS G											
Form 4	6 2004											
November 20										OMB A	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								3235-0287				
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).			F CHAN	GES I SECU 6(a) of ility H	January 31, 2005 Estimated average burden hours per response 0.5							
(Print or Type F	Responses)											
1. Name and Address of Reporting Person <u>*</u> TARTAGLIA THOMAS G			2. Issuer Name and Ticker or Trading Symbol CATHAY GENERAL BANCORP [CATY]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 777 NORTH BROADWAY			3. Date of Earliest Transaction (Month/Day/Year) 11/23/2004						X_ Director 10% Owner Officer (give title Other (specify below) below)			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)						 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
LOS ANGE	LES, CA 90012								Form filed by Person	More than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Nor	1-De	erivative S	ecuri	ties Ac	quired, Disposed o	of, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any	emed on Date, if Day/Year)	Code (Instr.	8)	nAcquired Disposed	. Securities Acquired (A) or Disposed of (D) Instr. 3, 4 and 5) (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock									61,793.78 <u>(1)</u> (2)	Ι	T. Tartaglia Trust	
Common Stock	11/23/2004			J <u>(3)</u>	V	583	D	\$0	14,335 <u>(2)</u>	I	By ESOP	
Common Stock	11/23/2004			J <u>(3)</u>	V	583	А	\$0	583	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securities	8. De Se (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 10.625					01/20/2005(4)	01/20/2010	Common Stock	400	
Stock Option	\$ 15.0475					01/18/2005(5)	01/18/2011	Common Stock	800	
Stock Option	\$ 16.275					02/21/2005(6)	02/21/2012	Common Stock	1,200	
Stock Option	\$ 19.925					01/16/2005(7)	01/16/2013	Common Stock	3,040	
Stock Option	\$ 24.8					11/20/2004(8)	11/20/2013	Common Stock	11,000	

Reporting Owners

Reporting Owner Name / Addr	ess	Relationships								
	Director	10% Owner	Officer	Other						
TARTAGLIA THOMAS G 777 NORTH BROADWAY LOS ANGELES, CA 90012	X									
Signatures										
Thomas G. Tartaglia	11/26/2004									
**Signature of Reporting Person	Date									

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 292.03 shares acquired through Dividend Reinvestment since Feb. 2004.
- (2) Shares increased via a 2-for-1 split on 9/28/04.
- (3) Distribution from ESOP
- (4) The option vests in 1 installment beginning 1/20/05.
- (5) The option vests in 2 equal annual installments beginning 1/18/05.
- (6) The option vests in 3 equal annual installments beginning 2/21/05.
- (7) The option vests in 4 equal annual installments beginning 1/16/05.
- (8) The option vests in 5 equal annual installments beginning 11/20/04.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.