ROCKWELL AUTOMATION INC

Form 4

February 09, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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OMB APPROVAL

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * YONTZ KENNETH F

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol

ROCKWELL AUTOMATION INC

(Check all applicable)

[ROK]

(Last) (First) 3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title

10% Owner Other (specify

1201 SOUTH SECOND STREET

(Street)

(State)

02/07/2007

Filed(Month/Day/Year)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

(Zip)

(Middle)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

MILWAUKEE, WI 53204

(City)

		Tube 1 Troit Detritutive Securities Required, Disposed of, of Beneficially Owned									
1.Title of	2. Transaction Date		3. 4. Securities Acquired (A)				5. Amount of	6.	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if	Transactionr Disposed of (D)				Securities	Ownership	Indirect		
(Instr. 3)		any	Code	(Instr. 3, 4	and :	5)	Beneficially	Form:	Beneficial		
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership		
							Following	or Indirect	(Instr. 4)		
							Reported	(I)			
					(A)		Transaction(s)	(Instr. 4)			
					or		(Instr. 3 and 4)	,			
			Code V	Amount	(D)	Price	(mon o and)				
Common Stock	02/07/2007		A <u>(1)</u>	500	A	\$ 0	18,056	D			
Common Stock	02/07/2007		P	10,000	A	\$ 62.8481	28,056	D (3)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 63.17	02/07/2007		A	1,500	02/07/2008(2)	02/07/2017	Common Stock	1,500

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

YONTZ KENNETH F 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204

Signatures

Karen A. Balistreri, Attorney-in-Fact for Kenneth F. Yontz

02/09/2007

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Shares delivered as compensation for service as a director under the 2003 Directors Stock Plan.
- (2) The option vests in three substantially equal annual installments beginning on the date exercisable.
- (3) 7,656 shares are held by Company to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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