Edgar Filing: HORIZON BANCORP /IN/ - Form 4/A

| Form 4/A | BANCORP /IN/ | | | | | | | | | |
|--------------------------------------|---|------------------|---|--|--|--------------------------------|----------------------|---|--|----------------------|
| June 16, 201 FORN | ЛЛ | STATES | | | | | NGE C | OMMISSION | OMB | PROVAL 3235-0287 |
| wasnington, D.C. 20549 Number: | | | | | | January 31, 2005 average | | | | |
| (Print or Type] | Responses) | | | | | | | | | |
| 1. Name and A NEFF JAM | Address of Reporting ES D | Person <u>*</u> | Symbol | Name and | | | | 5. Relationship of Issuer | | |
| (Last) 515 FRANI | (First) (N KLIN SQUARE | Middle) | 3. Date of (Month/D 05/29/24 | - | ansaction | | | Director X Officer (give below) | | Owner er (specify |
| Fil 06 | | | 4. If Amendment, Date Original Filed(Month/Day/Year) 06/16/2014 | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | |
| | N CITY, IN 46360 | | | | | | | Person | | 1 0 |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution any | n Date, if | 3. Transactic Code (Instr. 8) | 4. Securi on(A) or Di (Instr. 3, | spose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | |
| Common Stock | 05/29/2014 | | | Code V S | Amount 1,422 (1) | (D) D | Price \$ 21.93 | 96,531 | D | |
| Common Stock | | | | | | | | 18,889 <u>(2)</u> | I | ESOP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|--------------------------|-------|--|--|--|
| r o | Director | 10% Owner | Officer | Other | | | |
| NEFF JAMES D 515 FRANKLIN SQUARE MICHIGAN CITY, IN 46360 | | | Executive Vice President | | | | |
| Signatures | | | | | | | |

| /s/ James D Neff | 06/16/2014 |
|--|------------|
| <u>**</u> Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Number of shares sold in SERP.
- (2) Includes shares received in the ESOP and Thrift Plans.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.