

FAIR ISAAC CORP
Form 4
December 09, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Wells Stuart

(Last) (First) (Middle)
181 METRO DRIVE
(Street)

SAN JOSE, CA 95110

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FAIR ISAAC CORP [FICO]

3. Date of Earliest Transaction
(Month/Day/Year)
12/08/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)
Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Common Stock | 12/08/2016 | | M | | 5,970 A \$ 0 | 22,965 | D |
| Common Stock | 12/08/2016 | | M | | 4,130 A \$ 0 | 27,095 | D |
| Common Stock | 12/08/2016 | | M | | 2,751 A \$ 0 | 29,846 | D |
| Common Stock | 12/08/2016 | | M | | 3,806 A \$ 0 | 33,652 | D |
| Common Stock | 12/08/2016 | | M | | 1,119 A \$ 0 | 34,771 | D |

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| | | | | | | | |
|--------------|------------|---|------------------------------|---|-----------|--------|---|
| Common Stock | 12/08/2016 | M | 1,648 | A | \$ 0 | 36,419 | D |
| Common Stock | 12/08/2016 | M | 1,712 | A | \$ 0 | 38,131 | D |
| Common Stock | 12/08/2016 | F | <u>10,278</u> ⁽¹⁾ | D | \$ 121.48 | 27,853 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title |
| Market Share Units | <u>(2)</u> | 12/08/2016 | | A | 10,007 <u>(3)</u> | 12/13/2016 | <u>(4)</u> | Common Stock |
| Market Share Units | <u>(2)</u> | 12/08/2016 | | A | 5,970 <u>(5)</u> | 12/08/2016 | <u>(4)</u> | Common Stock |
| Market Share Units | <u>(2)</u> | 12/08/2016 | | M | 5,970 | 12/08/2016 | <u>(4)</u> | Common Stock |
| Market Share Units | <u>(2)</u> | 12/08/2016 | | A | 4,130 <u>(6)</u> | 12/08/2016 | <u>(4)</u> | Common Stock |
| Market Share Units | <u>(2)</u> | 12/08/2016 | | M | 4,130 | 12/08/2016 | <u>(4)</u> | Common Stock |
| Performance Share Units | <u>(7)</u> | 12/08/2016 | | M | 2,751 | 12/08/2015 ⁽⁸⁾ | <u>(4)</u> | Common Stock |
| Performance Share Units | <u>(7)</u> | 12/08/2016 | | M | 3,806 | 12/08/2016 ⁽⁸⁾ | <u>(4)</u> | Common Stock |
| Restricted Stock Units | <u>(9)</u> | 12/08/2016 | | M | 1,119 | 12/08/2015 ⁽¹⁰⁾ | <u>(4)</u> | Common Stock |
| Restricted Stock Units | <u>(9)</u> | 12/08/2016 | | M | 1,648 | 12/08/2015 ⁽¹⁰⁾ | <u>(4)</u> | Common Stock |
| Restricted Stock Units | <u>(9)</u> | 12/08/2016 | | M | 1,712 | 12/08/2016 ⁽¹⁰⁾ | <u>(4)</u> | Common Stock |

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