

HOLDING FRANK B JR  
Form 4  
November 02, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HOLDING FRANK B JR

2. Issuer Name and Ticker or Trading Symbol  
FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
4300 SIX FORKS ROAD  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/01/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman and CEO

RALEIGH, NC 27609

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock				(A) or (D) Price	386,270	D	
Class A Common Stock				(A) or (D) Price	34,265	I	As Co-Trustee for Frank B. Holding Revocable Trust
Class A Common Stock				(A) or (D) Price	11,140	I	As beneficiary of Trust

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Class A Common Stock						8,525 <sup>(1)</sup>	I	By Spouse	
Class A Common Stock						19,400 <sup>(1)</sup>	I	As custodian for B.P. Holding	
Class A Common Stock						17,350 <sup>(1)</sup>	I	As custodian for L.R. Holding II	
Class A Common Stock						11,228	I	By son, Lewis R. Holding II	
Class B Common Stock						99,631	D		
Class B Common Stock						2,122	I	As beneficiary of Trust	
Class B Common Stock						1,399 <sup>(1)</sup>	I	By spouse	
Class B Common Stock						178 <sup>(1)</sup>	I	As custodian for F. B. Holding III	
Class B Common Stock						13,524	I	As custodian for B. P. Holding	
Class B Common Stock	11/01/2018		P	25	A	\$ 385	10,732	I	As custodian for L.R. Holding II
Class B Common Stock						19,450 <sup>(1)</sup>	I	By son Lewis R. Holding II	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying	8. Price of Derivative Security	9. Number of Derivative Securities
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