GOMEZ GREGORY M

Form 4

August 28, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction 1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** GOMEZ GREGORY M | | | 2. Issuer Name and Ticker or Trading Symbol BADGER METER INC [BMI] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|--|-------------|----------|---|--|--|--|--|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | (====== an applicable) | | | |
| 4545 W. BROWN DEER ROAD | | ROAD | (Month/Day/Year) 08/27/2018 | Director 10% OwnerX Officer (give title Other (specify below) VP-Flow Instrumentation | | | |
| (Street) | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| MILWAUKE | E, WI 53223 | 3 | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| | Tuble 1 Tion Delivative Securities required, Disposed on, or Delicitating Owned | | | | | | | | |
|--------------------------------------|---|---|---|--------|------------------|---------------------|--|---|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | (Instr. 4) | |
| Common Stock | 08/27/2018 | | M | 1,200 | A | \$ 19.345 | 17,016 | D | |
| Common Stock | 08/27/2018 | | M | 2,400 | A | \$ 19.205 | 19,416 | D | |
| Common Stock | 08/27/2018 | | M | 2,400 | A | \$ 18.295 | 21,816 | D | |
| Common Stock | 08/27/2018 | | S | 6,000 | D | \$ 54.824 (1) | 15,816 | D | |
| Common Stock | | | | | | | 12,472.239 | I | ESSOP |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

D

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code | 5. Number stion Derivative Securities 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--------|---|-------|--|--------------------|---|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options | \$ 19.345 | 08/27/2018 | | M | | 1,200 | 05/01/2010 | 05/01/2019 | BMI Common Stock | 1,200 |
| Stock Options | \$ 19.205 | 08/27/2018 | | M | | 2,400 | 05/07/2011 | 05/07/2020 | BMI Common Stock | 2,400 |
| Stock Options | \$ 18.295 | 08/27/2018 | | M | | 2,400 | 05/06/2012 | 05/06/2021 | BMI Common Stock | 2,400 |
| Stock Options | \$ 18.075 | | | | | | 05/04/2013 | 05/04/2022 | BMI Common Stock | 4,800 |
| Stock Options | \$ 25.645 | | | | | | 03/01/2014 | 03/01/2023 | BMI Common Stock | 2,836 |
| Stock Options | \$ 27.18 | | | | | | 03/07/2015 | 03/07/2024 | BMI Common Stock | 2,818 |
| Stock Options | \$ 28.33 | | | | | | 03/06/2016 | 03/06/2025 | BMI Common Stock | 3,378 |
| Stock Options | \$ 33.975 | | | | | | 03/04/2017 | 03/04/2026 | BMI Common Stock | 2,886 |
| | \$ 36.45 | | | | | | 03/03/2018 | 03/03/2027 | | 2,294 |

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Stock
Options
Common
Stock

BMI

Stock Options \$48.2 03/02/2019 03/02/2028 Common

Common 1,783 Stock

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GOMEZ GREGORY M 4545 W. BROWN DEER ROAD MILWAUKEE, WI 53223

VP-Flow Instrumentation

Signatures

Gregory M. 08/28/2018

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price in Column 4 is a weighted average price. Prices actually received ranged from \$54.75 to \$55.05. The reporting person has (1) provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price for all transactions reported in this Form 4.
- (2) Stock Options are granted on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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