McCall Jeffrey Joseph Form 4 June 28, 2018

Check this box

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

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may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* McCall Jeffrey Joseph

700 NW 107TH AVENUE, SUITE

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

LENNAR CORP / NEW / [LEN,

(Check all applicable)

LEN.B]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

10% Owner Director

(Month/Day/Year)

Other (specify X\_ Officer (give title below) below)

Senior Vice President

06/26/2018

400

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**MIAMI, FL 33172** 

(City)

2. Transaction Date 2A. Deemed 1. Title of Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)

(Zip)

4. Securities Acquired 5. Amount of 3. Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Owned Indirect (I) Following Reported

(Instr. 4)

Class A

Common 06/26/2018 Stock

Code V Amount 14,532 A

(1)

(D) Price

\$0

(A)

153,697

Transaction(s) (Instr. 3 and 4)

D

Class B

Common Stock

3,904

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Beneficial

Ownership

(Instr. 4)

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#### number.

5.

6. Date Exercisable and

7. Title and Amount

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transacti Code (Instr. 8)	orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>S</b>		of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 50.34					02/12/2018(2)	04/01/2020	Class A common stock	39,224 (3)
Stock Appreciation Right	\$ 46.51					02/12/2018(2)	10/01/2020	Class A common stock	12,931 (3)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McCall Jeffrey Joseph 700 NW 107TH AVENUE SUITE 400 MIAMI, FL 33172

Senior Vice President

# **Signatures**

1. Title of

/s/ Mark Sustana as attorney-in-fact for Jeffrey
McCall
06/28/2018

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1/3 of the restricted shares granted vest on each of July 2, 2019, July 2, 2020 and July 2, 2021, subject to forfeiture in accordance with the terms of the grant.
- (2) Appreciation is capped at \$22.60 per stock appreciation right.
- (3) For each share of Class A common stock received by the Reporting Person by exercising the stock appreciation right, the Reporting Person will also receive .02 shares of Class B common stock.

Reporting Owners 2

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