#### HALBROOK JOHN A

Form 4 April 26, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

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**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * HALBROOK JOHN A		2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
(Lost)	(First) (Middle)	Woodward, Inc. [WWD]	(Check all applicable)		
(Last)	(First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X Director 10% Owner		
1081 WOOD	WARD WAY	10/25/2017	Officer (give title below)  Officer (give title below)		
	(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person		
FORT COLL	INS, CO 80524		Form filed by More than One Reporting Person		

(City)	(State) (Zi	p) Table	I - Non-	-Der	ivative Se	curiti	es Acq	uired, Disposed o	f, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	Transaction Code		4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Woodward,			Code	V	Amount	or (D)	Price	(Instr. 3 and 4)	,	
Inc. Common Stock	10/25/2017		G	V	700	D	\$0	345,206.999	D	
Woodward, Inc. Common Stock	12/30/2017		G	V	2,200	D	\$ 0	343,006.999	D	
Woodward, Inc. Common Stock								102,000	I	By self as trustee for the Benita K.

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			Halbrook 2015 Grantor Retained Annuity Trust
Woodward, Inc. Common Stock	79,980	I	By self as co-trustee for the Benita K. Halbrook Living Trust
Woodward, Inc. Common Stock	162,707	I	By Benita K. Halbrook as trustee for the John A. Halbrook Grantor Retained Annuity Trust
Woodward, Inc. Common Stock	251,495 <u>(1)</u>	I	By self as holder of note
Reminder: Report on a separate line for each class of securities benefi	Persons who respond to the collection information contained in this form required to respond unless the folding layers a currently valid OMB contained.	are not	SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

number.

1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3,	6	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)		Title		

Date Expiration Exercisable Date

Amount or Number of Shares

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

HALBROOK JOHN A

1081 WOODWARD WAY X

FORT COLLINS, CO 80524

## **Signatures**

Rebecca L. Dees, by Power of Attorney

04/26/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Reporting Person beneficially owns 251,495 shares sold in 2011 to The Halbrook Family Irrevocable Trust (the "Halbrook Family (1) Trust Shares"), of which the Reporting Person's children are the beneficiaries and trustees without dispositive power with respect to the Halbrook Family Trust Shares. The Halbrook Family Trust Shares were sold by the Reporting Person in exchange for a promissory note.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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