PPL Corp Form 3 January 05, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement PPL Corp [PPL] Thompson Paul W (Month/Day/Year) 01/03/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) TWO NORTH NINTH STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person (give title below) (specify below) ALLENTOWN, Â PAÂ 18101 Form filed by More than One President of a PPL Subsidiary Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 6,454 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

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currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4) Expiration (Month/Day/	2. Date Exer Expiration D (Month/Day/Year)	Date	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Stock Unit (ICPKE)	(1)	(1)	Common Stock	10,270	\$ (2)	D	Â
Stock Unit (ICPKE)	(3)	(3)	Common Stock	8,668	\$ (2)	D	Â
Stock Unit (ICPKE)	(4)	(4)	Common Stock	8,478	\$ (2)	D	Â
Performance Unit (ICPKE)	(5)	(5)	Common Stock	11,444.136 (6)	\$ <u>(5)</u>	D	Â
Performance Unit (ICPKE)	(7)	(7)	Common Stock	9,539.3 (6)	\$ <u>(7)</u>	D	Â
Performance Unit (ICPKE)	(8)	(8)	Common Stock	9,368.036 (6)	\$ (8)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships						
• 9	Director	10% Owner	Officer	Other			
Thompson Paul W TWO NORTH NINTH STREET ALLENTOWN, PA 18101	Â	Â	President of a PPL Subsidiary	Â			

Signatures

/s/Frederick C. Paine, as Attorney-In-Fact for Paul W. Thompson

01/05/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The units will vest on January 23, 2017.
- (2) No conversion or exercise price applies. Under the terms of the Incentive Compensation Plan for Key Employees (ICPKE), a restricted stock unit converts to a share of common stock on the applicable vesting date.
- (3) The units will vest on January 22, 2018.
- (4) The units will vest on January 21, 2019.
- No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key

 Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending December 31, 2016. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2017.
- (6) Total includes the reinvestment of dividends.
- No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key

 Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending December 31, 2017. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2018.

Reporting Owners 2

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No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key

Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending December 31, 2018. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.