Edgar Filing: FATE THERAPEUTICS INC - Form 4

FATE THERAPEUTICS INC

Form 4

November 28, 2016

FORM	ЛД									PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287			
Check this box if no longer GENATERMENTE OF CHANGES IN DENIEFICIAL COMMEDIUM OF								Expires:	January 31			
subject Section Form 4 Form 5 obligati may co See Inst	to 16. or Filed pu ons ntinue.	Section 10	SECUR 6(a) of the ility Hold	ITIES e Securiti ling Com	es Ex pany	change Act of	e Act of 1934, 1935 or Sectio	Estimated a burden hou response	•			
1(b).												
(Print or Type	Responses)											
1. Name and Address of Reporting Person * Storgard Chris			2. Issuer Name and Ticker or Trading Symbol FATE THERAPEUTICS INC					5. Relationship of Reporting Person(s) to Issuer				
			[FATE]	TILIC/ II L	2011001	.110	(Check all applicable)					
	(First) THERAPEUTIC GENERAL ATO SUITE 200	3. Date of Earliest Transaction (Month/Day/Year) 11/23/2016					below)	_X_ Officer (give title Other (specify				
, -		ndment, Dat th/Day/Year)	_			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person						
SAN DIEC	GO, CA 92121							Form filed by N Person	More than One Re	porting		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	any		on Date, if Transact Code Day/Year) (Instr. 8)		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	11/23/2016			Code V	37,593 (1)	(D)	Price \$ 2.66	37,593	I	By The Storgard Family Trust, June 28, 2012		
Common Stock								9,090	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Title		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date		Amoun	it of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative			, ,	Securities			(Instr. 3 and 4)		Owne	
	Security				Acquired					Follo	
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						(IIIsti
					4, and 5)						
					4, and 3)						
									Amount		
						Data	Evaluation		or		
						Date Expiration Exercisable Date	Title Number				
							Date	(of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer

Relationships

Storgard Chris C/O FATE THERAPEUTICS, INC. 3535 GENERAL ATOMICS COURT, SUITE 200 SAN DIEGO, CA 92121

Chief Medical Officer

Other

Signatures

/s/ Cindy R. Tahl, as Attorney-in-Fact

11/28/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares acquired in a private placement pursuant to the Securities Purchase Agreement dated November 21, 2016 by and among the issuer and the purchasers named therein.
- (2) These shares are owned of record by The Storgard Family Trust, June 28, 2012 for which Chris M. Storgard and Melinda Storgard are co-trustees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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