**EnerSys** Form 4 October 04, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* KANE KERRY M

(First) (Middle) (Last)

09/30/2016

09/30/2016

09/30/2016

09/30/2016

2366 BERNVILLE ROAD

Common

Common

Common

Common

Stock

Stock

Stock

Stock

2. Issuer Name and Ticker or Trading Symbol

EnerSys [ENS]

3. Date of Earliest Transaction

09/30/2016

5. Relationship of Reporting Person(s) to Issuer

(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

(Check all applicable)

D

D

D

D

Director

X\_ Officer (give title

0.5

10% Owner

Other (specify

below) below) VP, Corporate Controller & PAO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting READING, PA 19605 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) any Code (D) Beneficially (D) or Beneficial (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Month/Day/Year) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 0.9136 09/30/2016 A A \$0 18,273.4435 D (1) Stock

1.3235

7.8779

2.0751

9.4797

(2)

(3)

(4)

(5)

A

A

A

A

A

Α

A

A

\$0

\$0

\$0

\$0

18,274.767

18,282.6449

18,284.72

18,294.1997

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Common Stock	09/30/2016	A	8.9096 (6)	A	\$0	18,303.1093	D
Common Stock	09/30/2016	A	3.6259 (7)	A	\$ 0	18,306.7352	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	8)	5. Mumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KANE KERRY M 2366 BERNVILLE ROAD READING, PA 19605

VP, Corporate Controller & PAO

## **Signatures**

Karen J. Yodis, by Power of Attorney 10/04/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were granted in the form of Restricted Stock Units ("RSUs"), in connection with the cash dividend paid on September 30, 2016 to stockholders of record as of September 16, 2016 (the "Dividend"), with respect to 345 unvested RSUs granted to the reporting person on May 13, 2013, and adjusted for previously declared and paid cash dividends. These RSUs will vest and are payable concurrent

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with the underlying RSUs.

- These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 504 unvested RSUs granted to the reporting person on May 12, 2014, and adjusted for previously declared and paid cash dividends. These RSUs will vest and are payable concurrent with the underlying RSUs.
- These shares were granted in the form of MSUs, in connection with the Dividend, with respect to 3,000 unvested MSUs granted to the reporting person on May 12, 2014, and adjusted for previously declared and paid cash dividends. These MSUs will vest and are payable concurrent with the underlying MSUs.
- These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 799 unvested RSUs granted to the reporting person on May 12, 2015, and adjusted for previously declared and paid cash dividends. These RSUs will vest and are payable concurrent with the underlying RSUs.
- These shares were granted in the form of MSUs, in connection with the Dividend, with respect to 3,650 unvested MSUs granted to the reporting person on May 12, 2015, and adjusted for previously declared and paid cash dividends. These MSUs will vest and are payable concurrent with the underlying MSUs.
- These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 3,472 unvested RSUs granted to the reporting person on May 16, 2016, as adjusted for a previously declared and paid cash dividend. These RSUs will vest and are payable concurrent with the underlying RSUs.
- These shares were granted in the form of Performance Share Units ("PSUs"), in connection with the Dividend, with respect to 1,413 (7) unvested PSUs granted to the reporting person on May 16, 2016, and adjusted for a previous declared and paid cash dividend. These PSUs will vest and are payable concurrent with the underlying PSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.