Allegiance Bancshares, Inc.

Form 4/A April 21, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

Stock

(Print or Type Responses)

	Address of Reporting LAURENCE L III	I Symbol	er Name <b>and</b> Ticker or Trading ance Bancshares, Inc. [Al	Issuer
<i>(</i> 7	(T' )	ŭ		(Check all applicable)
(Last)	(First) (N		of Earliest Transaction	D
8847 W/ SA	AM HOUSTON	01/28/2	Day/Year)	Director 10% OwnerX Officer (give title Other (specify
	Y N., STE 200	01/28/2	2010	below) below)
IAKKWA	1 IV., 51L 200			Executive Vice President/CFO
	(Street)	4. If Am	endment, Date Original	6. Individual or Joint/Group Filing(Check
		Filed(Mo	onth/Day/Year)	Applicable Line)
		01/29/2	2016	_X_ Form filed by One Reporting Person Form filed by More than One Reporting
HOUSTON	f, TX 77040			Person
(City)	(State)	(Zip) Tab	ole I - Non-Derivative Securit	ties Acquired, Disposed of, or Beneficially Owned
1.Title of	2. Transaction Date	2A. Deemed	3. 4. Securities Acq	quired 5. Amount of 6. 7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed	•
(Instr. 3)		any	Code (Instr. 3, 4 and 5)	· · · · · · · · · · · · · · · · · · ·
		(Month/Day/Year)	(Instr. 8)	Owned (D) or Ownership Following Indirect (I) (Instr. 4)
				Reported (Instr. 4)
			(A) or	Transaction(s)
			Code V Amount (D)	Price (Instr. 3 and 4)
Common Stock	01/28/2016		P 1,000 A	\$ 5,000 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Allegiance Bancshares, Inc. - Form 4/A

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Options (Right to buy)	\$ 20					(2)	07/25/2023	Common Stock	12,000	

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

LEHMAN LAURENCE L III 8847 W. SAM HOUSTON PARKWAY N., STE 200 HOUSTON, TX 77040

Executive Vice President/CFO

#### **Signatures**

/s/ Steven F. Retzloff, 04/21/2016 Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This amendment is being filed in order to restate such entries in Column 5 of Table 1 as necessary to properly reflect the amount of (1) securities beneficially owned following the reported transaction.
- (2) The option vests in 4 equal annual installments beginning on July 25, 2014.
- (3) These holdings of derivative securities were inadvertently omitted from the reporting person's original Form 4 filed on January 29, 2016.

#### **Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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