Edgar Filing: CHART INDUSTRIES INC - Form 4

CHART IND	OUSTRIES INC	2										
Form 4	-											
April 05, 201												
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								т	PPROVAL			
								OMB Number:	3235-0287			
Check thi	s box		vv as.	inington,	D.C. 203	549				January 31		
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								Expires:	2005			
subject to				SECUR					Estimated average			
Form 4 or								burden hou	•			
Form 5		ursuant to	Section 16	b(a) of the	Securiti	ies Er	xchan	ge Act of 1934,	response	. 0.0		
obligation	¹⁸ Section 1			• •			•	of 1935 or Section	m			
may conti	inue.		of the Inv	•	•	- ·			/11			
See Instru 1(b).	iction	()				,						
-(-).												
(Print or Type R	Responses)											
		_ *										
1. Name and Address of Reporting Person 2. Issuer BROWN W DOUGLAS Symbol				Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
BROWNW	DOUGLAS		Symbol					155001				
СН				INDUST	RIES IN	IC [C	FLS	(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of	Earliest Tra	insaction							
			(Month/Da	Day/Year)				_X_Director10% Owner				
C/O CHART INDUSTRIES, 04/01/20)16				Officer (give title Other (specify below)				
INC., ONE I								001011)	0010(1)			
CORPORAT	ΓE CENTRE Ι	DRIVE										
(Street) 4. If <i>L</i>			4. If Amer	If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
				(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
												GARFIELD
(City)	(State)	(Zip)	Table	I - Non-De	erivative S	Securi	ties A c	quired, Disposed o	f or Beneficia	llv Owned		
1.Title of	2. Transaction I	Data 2A Dag		3.	4. Securi		100 110	5. Amount of	6. Ownership	•		
Security	(Month/Day/Ye		on Date, if	5. Transactio			or	Securities	Form: Direct	Indirect		
(Instr. 3)	(initial Duy) it	any	on Dute, n	Disposed			Beneficially	(D) or	Beneficial			
		(Month	/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership		
								Following	(Instr. 4)	(Instr. 4)		
						(A)		Reported Transaction(s)				
						or		(Instr. 3 and 4)				
Common				Code V	Amount	(D)	Price					
Common												
stock, par	04/01/2016			А	1,116	А	\$0	12,037 <u>(2)</u>	D			
value 0.01 per share (1)												
per snare (1)												

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / AddressRelationshipsDirector10% OwnerOfficerOtherBROWN W DOUGLASC/O CHART INDUSTRIES, INC.XXONE INFINITY CORPORATE CENTRE DRIVEXXXGARFIELD HEIGHTS, OH 44125XXX

Signatures

/s/ W. Douglas Brown, by Matthew J. Klaben, his attorney-in-fact pursuant to Power of Attorney dated May 20, 2008 on file with the Commission

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These securities were granted on April 1, 2016 pursuant to the terms of a stock award agreement under the Chart Industries, Inc.(1) Amended and Restated 2009 Omnibus Equity Plan in an exempt transaction under Rule 16b-3 and deferred under the stock award agreement until a future date.

Includes 6,558 shares which have been deferred. 717 and 5,841 of the deferred shares herein were granted by the Issuer under the

(2) Amended and Restated Chart Industries, Inc. 2005 Stock Incentive Plan and the Chart Industries, Inc. Amended and Restated 2009 Omnibus Equity Plan, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

04/05/2016

Date