

Fortune Brands Home &amp; Security, Inc.

Form 3

October 07, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Haddix David D.

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

10/01/2015

3. Issuer Name **and** Ticker or Trading Symbol

Fortune Brands Home &amp; Security, Inc. [FBHS]

4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)FORTUNE BRANDS HOME &  
SECURITY, INC.,Â 520 LAKE  
COOK ROAD

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other  
(give title below) (specify below)

President, Therma-Tru

DEERFIELD,Â ILÂ 60015

(City)

(State)

(Zip)

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock, Par Value \$0.01

14,500 <sup>(1)</sup>

D Â

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)4. Conversion  
or Exercise  
Price of  
Derivative5. Ownership  
Form of  
Derivative  
Security:6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

# Edgar Filing: Fortune Brands Home & Security, Inc. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Options (Right to Buy)	09/30/2010	09/30/2016	Common Stock	10,616	\$ 9.608	D	Â
Options (Right to Buy)	02/22/2011	02/22/2017	Common Stock	19,788	\$ 9.7622	D	Â
Options (Right to Buy)	02/22/2012	02/22/2021	Common Stock	16,998	\$ 13.757	D	Â
Options (Right to Buy)	02/21/2013	02/21/2022	Common Stock	8,200	\$ 19.46	D	Â
Options (Right to Buy)	02/28/2014	02/25/2023	Common Stock	6,700	\$ 33.1	D	Â
Options (Right to Buy)	02/28/2015	02/24/2024	Common Stock	4,700	\$ 44.73	D	Â
Options (Right to Buy)	02/28/2016	02/23/2025	Common Stock	5,500	\$ 47.87	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Haddix David D. FORTUNE BRANDS HOME & SECURITY, INC. 520 LAKE COOK ROAD DEERFIELD, IL 60015	Â	Â	Â President, Therma-Tru	Â

## Signatures

/s/ Angela M. Pla, Attorney-in-Fact for David D.  
Haddix

10/07/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes a total of 6,866 restricted stock units that have not yet vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.