Edgar Filing: ARCH COAL INC - Form 4

| Form 4 | | | | | | | | | | | |
|--|---------------------------------------|---|---------------------|--|---|------------------------------|---|----------------------------|---|--|--|
| October 02, 20 | Л | STATES | SECU | RITIES A | AND EX | CHANG | E COMMISSION | r | PPROVAL | | |
| Check this | box | SIAILS | | shington | | | E COMMISSION | OMB Number: Expires: | 3235-0287 January 31, | | |
| if no longe subject to Section 16 Form 4 or | SIAIEN | IENT OF | OWNERSHIP OF | Expires: 2005 Estimated average burden hours per response 0.5 | | | | | | | |
| Form 5 obligations may contin <i>See</i> Instruc 1(b). | Section 17(| suant to S a) of the H 30(h) | 'n | | | | | | | | |
| (Print or Type Re | esponses) | | | | | | | | | | |
| 1. Name and Ad Cochran Ken | Person [*] | Symbol | er Name an o | | - | 5. Relationship of Issuer | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | | COAL IN | - |] | (Chec | (Check all applicable) | | | |
| (Last) (First) (Middle) ONE CITYPLACE DRIVE, SUITE 300 | | | | of Earliest T Day/Year) 2014 | ransaction | | Director 10% Owner X Officer (give title Other (specify below) below) Sr. VP - Operations | | | | |
| | | | | endment, D onth/Day/Yea | - | l | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| ST. LOUIS, N | MO 63141 | | | | | | Form filed by M Person | More than One R | eporting | | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Securities | Acquired, Disposed o | f, or Beneficia | lly Owned | | |
| | . Transaction Date Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transactio Code (Instr. 8) | 4. Securit nAcquired Disposed (Instr. 3, 4 | (A) or of (D) | Securities H Beneficially (Owned (Following (Reported | 1 | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code V | Amount | or (D) Pric | Transaction(s) (Instr. 3 and 4) | | | | |
| Reminder: Report | rt on a separate line | e for each cla | ass of sec | urities bene | - | - | y or indirectly. espond to the collect | tion of S | EC 1474 | | |

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. Price |
|-------------|-------------|---------------------|--------------------|-------------|------------|-------------------------|------------------------|-----------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | onof | Expiration Date | Underlying Securities | Derivativ |
| Security | or Exercise | | any | Code | Derivative | (Month/Day/Year) | (Instr. 3 and 4) | Security |

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| (Instr. 3) | Price of Derivative Security | (M | (Month/Day/Year) | (Instr. | 8) | Securi Acqui (A) or Dispos of (D) (Instr. and 5) | sed 3, 4, | | | | (| (Instr. 5) |
|------------------|------------------------------------|------------|------------------|---------|----|--|--------------|---------------------|--------------------|-----------------|--|------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom Stock | <u>(1)</u> | 10/01/2014 | | А | | 261 | | (2) | (2) | Common Stock | 261 | \$ 1.9 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|---------------------|--|--|--|--|--|
| | Director | 10% Owner | Owner Officer | | | | | |
| Cochran Kenneth D. ONE CITYPLACE DRIVE SUITE 300 ST. LOUIS, MO 63141 | | | Sr. VP - Operations | | | | | |
| Signatures | | | | | | | | |
| /s/ Robert G. Jones, Attorney-in-Fact | | 10/02/201 | 4 | | | | | |

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each share of phantom stock represents a right to receive the value in cash of one share of Arch Coal, Inc. common stock. The shares of phantom stock are held by the Executive Officer through the Arch Coal, Inc. Deferred Compensation Plan (the "Plan") and represent past

- (1) compensation, the vested portion of restricted stock awards and/or payouts under other incentive compensation arrangements that the Executive Officer elected to defer under the Plan into a hypothetical investment in shares of Arch Coal Common stock and/or dividends paid with respect to such deferral amounts.
- (2) Shares of phantom stock are payable in cash on the date or dates elected by the executive officer, subject to the terms of the Plan. The executive officer may transfer any and all of the phantom stock account into an alternative investment account at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.