## Edgar Filing: METLIFE INC - Form 4

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Form 4       September 09, 2014       OMB APPROVAL         FORM 4       UNITED STATES SECURITIES AND EXCHANCE COMMISSION Washington, D.C. 20549       OMB APPROVAL         The longer section 16.       STATEMENT OF CHANCES IN BENEFICIAL OWNERSHIP OF Section 16.       State of landary 31, Exprint structure       State Memory 31, Exprint structure	METLIFE IN	NC										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 2054J       OMB APPROVAL OMB Section 16.       OMB APPROVAL OMB Section 16.         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Common SECURITIES       Common Portal       Statement of polysions may continue. Section 17(a) of the Securities Exchange Act of 1935, or Section 30(h) of the Investment Company Act of 1935, or Section 1(b).       Statement Polysions       Statement Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 30(h) of the Investment Company Act of 1945, or Section 1(b).       Statement Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 30(h) of the Investment Company Act of 1945, or Section 1(b).       Statement Section 17(a) of the Public Utility Holding Company Act of 1945, or Section Symbol       Statement Symbol         (Print or Type Response)       S. Insuer Name and Ticker or Trading Symbol       S. Relationship of Reporting Person(s) to Issuer       Statement Symbol         (Last)       (First)       (Middle)       3. Date of Earlies Transaction Filed(Month/Day/Year)       S. Relationship of Reporting Person(s) to Issuer       Director NEW YORK, NY 10166       S. And Company Securities Acquired and or JointGroup FilingCheck Applicable Line Network (Month/Day/Year)       S. Anount 6.       7. Nature of Yeansaction 0 and Company (Instr. 3)         (State)       (Zip)       Tabe I - Non-Derivative Securities Acquired Network (Month/Day/Year)       S. Anount 6.       7. Nature of Yeansaction(s) (Instr. 4)       S.	Form 4											
Check this box if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Check this box if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expres: January 31, 2010         Form 4 or Form 5 Form 5 Form 5 (bigations Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 1(b).       S. Relationship of Reporting Person(s) to Issuer         I. Name and Address of Reporting Person 2 Lippert Martin J       2. Issuer Name and Ticker or Trading Symbol METLIFE INC [MET]       S. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       S. Relationship of Reporting Person(s) to Issuer         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       METLIFE INC [MET]       (Check all applicable)         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       5. Relationship of Reporting Person NEW YORK, NY 10166       7. Nature of Code (Instr. 3, 4. Securities Acquired Onership Indirect Month/Day/Year)       5. Amount of Code (Instr. 3, 4. and 5)       6. Amount of Ownership Indirect Heerficially Ownership Indirect Heerficially Ownership Indirect Heerficially Ownership Indirect Heerficially Ownership Indirect Heerficially Ownership Indirect Heerficially Ownership Indirect Heerficially Ownership Indirect Heerficially Ownership Indirect Heerficially Code V Amount (D)	September 0	9, 2014										
Check this box if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 4 or Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1940 (b), (Print or Type Responses) 1. Name and Address of Reporting Person! (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Street) 4. If Amendment, Date Original FiledMonth/Day/Year) NEW YORK, NY 10166 (Street) (Street) A. Determed (Street) 4. If Amendment, Date Original FiledMonth/Day/Year) NEW YORK, NY 10166 (Street) 2. Transaction Date; If (Last) (Month/Day/Year) (Street) 4. If Amendment, Date Original FiledMonth/Day/Year) NEW YORK, NY 10166 (Street) 2. Transaction Date; If (Last) (Month/Day/Year) (Month	FORM	4							01 <b>11 11 11 11</b>	OMB AF	PROVAL	
if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expires:       2005         Section 16.       SECURITIES       2005         Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, may continue.       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940         (Print or Type Responses)       1. Name and Address of Reporting Person 1       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer       Section 17(a) of the Public Utility Holding Company Act of 1940         (Last)       (First)       (Middle)       3. Dat of Earliest Transaction (Month/Day/Year)       Check all applicable)         200 PARK AVENUE       09/05/2014       Director Version       Officer (give tild below)       Other (specify below)         (Street)       4. If Amendment, Date Original Filed/Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line)       7. Nature of Security         (City)       (State)       (Zip)       Table 1 - Non-Derivative Securities Acquired Security       5. Amount of Security       5. Amount of Security       6. Individual or Joint/Group Filing(Check Applicable)         (City)       (State)       (Zip)		UNITED	Washington, D.C. 20549								3235-0287	
may continue.       30(h) of the Investment Company Act of 1940         See Instruction       1(b).         (Print or Type Responses)       1. Name and Address of Reporting Person 1       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       5. Relationship of Reporting Person(s) to Issuer         200 PARK AVENUE       09/05/2014	if no long subject to Section 1 Form 4 o Form 5 obligation	6. Filed purs	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,								2005 verage rs per	
1. Name and Address of Reporting Person 1       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       (Check all applicable)         200 PARK AVENUE       09/05/2014	See Instru	inue.			•	•	· ·			-		
Lippert Martin JSymbolIssuer(Last)(First)(Middle)3. Date of Earliest Transaction (Month/Day/Year)(Check all applicable)200 PARK AVENUE09/05/2014 $$	(Print or Type F	Responses)										
(Last)       (First)       (Middle)       3. Date of Earliest Transaction         200 PARK AVENUE       (Month/Day/Year)				Symbol					Issuer			
200 PARK AVENUE     (Month/Day/Year) 09/05/2014	(Last)	(First) (N	(liddle)	3 Date of	<sup>2</sup> Earliest Tr	ansaction			(Check all applicable)			
Filed(Month/Day/Year)Applicable Line) .X_ Form filed by One Reporting Person Form filed by More than One Reporting Person(City)(State)(Zip)Table I - Non-Derivative Securities Acquired Transaction Date (Instr. 3)3.4. Securities Acquired Transaction CA) or Disposed of (D) Code5. Amount of Security (Instr. 3)6.7. Nature of Indirect Beneficially Ownership Indirect (I) (Instr. 4)1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3.4. Securities Acquired Transactior(A) or Disposed of (D) Code5. Amount of Securities Beneficially Owned (Instr. 3, 4 and 5)6.7. Nature of Ownership Beneficially Ownership (Instr. 4)Common Stock09/05/2014M12,500A(L)20,480DCommon Stock09/05/2014E6.078 (P\$13,502 (L)D				(Month/Day/Year)					X_Officer (give title Other (specify below)			
NEW TORK, NT 10100       Person         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of Security (Instr. 3)       2. Transaction Date (Month/Day/Year)       2A. Deemed Execution Date, if any (Month/Day/Year)       3.       4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5)       5. Amount of Securities Beneficially Owned Following (Instr. 4)       6.       7. Nature of Ownership Indirect (D) or (Instr. 4)         Common Stock       09/05/2014       M       12,500       A       (1)       20,480       D									Applicable Line)			
1.Title of Security (Instr. 3)       2. Transaction Date (Month/Day/Year)       2A. Deemed Execution Date, if any (Month/Day/Year)       3.       4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5)       5. Amount of Securities Beneficially Owned Following (Instr. 4)       6.       7. Nature of Ownership Indirect         (A) Following Stock       09/05/2014       M       12,500       A       (L) A       20,480       D         Common Stock       09/05/2014       E       6.078       P       \$ 13,502       D	NEW YORI	K, NY 10166							•	ore than One Re	porting	
Security (Instr. 3)(Month/Day/Year)Execution Date, if any (Month/Day/Year)Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5)Securities Beneficially Owned or (Instr. 4)Ownership Beneficially (D) or (Instr. 4)Indirect Beneficial (D) or (Instr. 4)Common Stock09/05/2014M12,500A(1)20,480DCommon Stock09/05/2014E6.978 Formon MDSecurities Beneficially (Instr. 4)D	(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative S	Securi	ities Acqu	uired, Disposed of,	or Beneficial	y Owned	
Common Stock       09/05/2014       Code V Amount (D)       Price       (Instr. 3 and 4)         Common 09/05/2014       M       12,500 A       (1)       20,480 D         Common 09/05/2014       E       6.978 D       \$       13.502 D	Security	Mecurity (Month/Day/Year) Execution Date, if Instr. 3) any			Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	
Stock     09/05/2014     M     12,500     A     D       Common     09/05/2014     E     6.978     D     \$					Code V	Amount		Price	(Instr. 3 and 4)			
(19/105/7014) $E (5.9/8)$ $(3.507)$ $D$		09/05/2014			М	12,500	А	<u>(1)</u>	20,480	D		
		09/05/2014			F	6,978	D	\$ 55.26	13,502	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	ransactiorDerivative ode Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		(Instr. 3 and 4)		8. ] De Sec (In
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	09/05/2014		М	1	2,500	(2)	(2)	Common Stock	12,500	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships							
	Director	10% Owner	Officer	Other				
Lippert Martin J 200 PARK AVENUE NEW YORK, NY 10166			EVP Global Technology					
Signatures								
Timothy J. Ring, authorized signer		09/09/2014						

<u>\*\*</u>Signature of Reporting Person

Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each Restricted Stock Unit represents the contingent right to receive one share of MetLife, Inc. common stock.

Date

(2) The Restricted Stock Units were awarded on September 6, 2011, and vested on September 6, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.