#### Edgar Filing: TANDEM DIABETES CARE INC - Form 3

#### TANDEM DIABETES CARE INC

Form 3

November 13, 2013

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Anacone Robert

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

11/13/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

TANDEM DIABETES CARE INC [TNDM]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

EXECUTIVE VP AND CCO

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O TANDEM DIABETES CARE, INC.,, 11045 ROSELLE STREET

(Street)

Director

\_X\_\_ Officer

10% Owner

Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person Form filed by More than One

Reporting Person

SAN DIEGO. CAÂ 92121

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Exercisable

Date

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

**Expiration Title** Date

Amount or Number of Price of Derivative Security

Derivative Security: Direct (D)

1

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				Shares		or Indirect (I) (Instr. 5)	
Incentive Stock Option (1)	(2)	(3)	Common Stock	7,460	\$ 11.73	D	Â
Incentive Stock Option (1)	(4)	(3)	Common Stock	6,863	\$ 10.72	D	Â
Incentive Stock Option (1)	(5)	(3)	Common Stock	5,968	\$ 7.04	D	Â
Incentive Stock Option (1)	(6)	(3)	Common Stock	158,749	\$ 1.11	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>FG</b>	Director	10% Owner	Officer	Other	
Anacone Robert C/O TANDEM DIABETES CARE, INC., 11045 ROSELLE STREET SAN DIEGO. CA 92121	Â	Â	EXECUTIVE VP AND CCO	Â	

### **Signatures**

/s/ David B. Berger, Attorney-in-Fact for Robert B.
Anacone 11/13/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to the Issuer's Tandem Diabetes Care, Inc. 2006 Stock Incentive Plan.
- (2) The date of grant of the option was 3/16/2009. All shares subject to the option vested as to 25% on 2/17/2010 and in 36 equal monthly installments thereafter.
- (3) The expiration date for these options is 10 years from the date of grant.
- (4) The date of grant of the option was 8/20/2009. All shares subject to the option vested as to 25% on 8/20/2010 and in 36 equal monthly installments thereafter.
- (5) The date of grant of the option was 10/20/2011. All shares subject to the option vested as to 25% on 10/20/2012 and continue to vest in 36 equal monthly installments thereafter.
- (6) The date of grant of the option was 4/23/2013. All shares subject to the option will vest in 24 equal monthly installments commencing on 4/23/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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